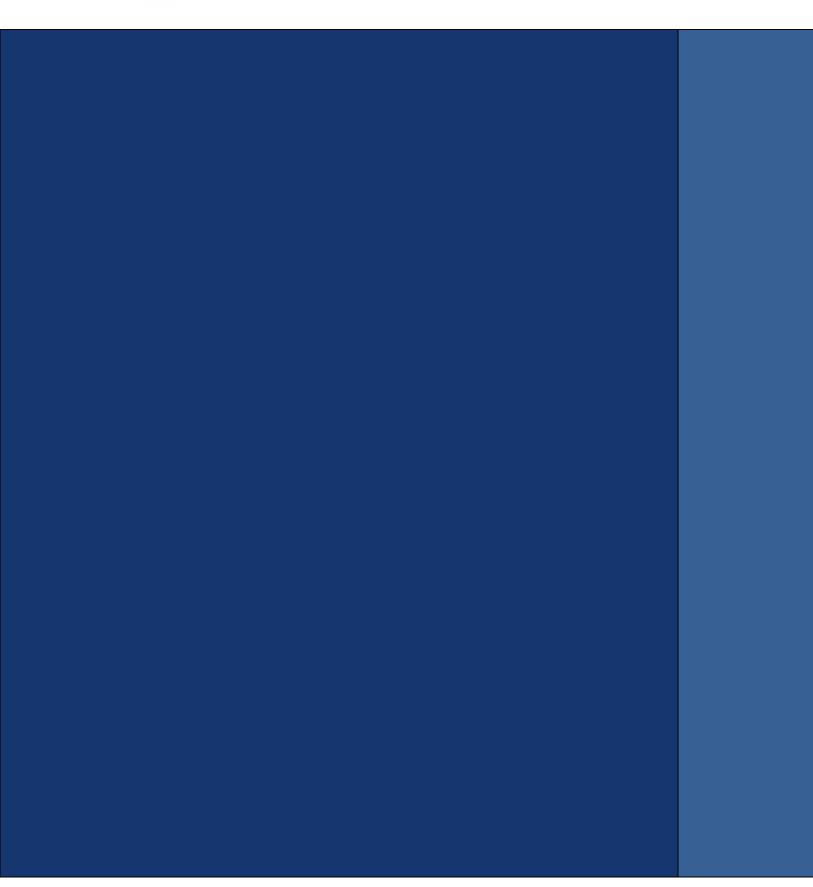


NCAA Publication of Proposed Rules Working Group Legislation – Division I

August 15, 2012



Division I Members,

Since the August 2011 Presidential Retreat, a lot of time, energy and effort has been invested in developing a new enforcement model and a new legislative framework through the development of Division I commitments and appropriate supporting operating bylaws. The Rules Working Group and the NCAA Working Group on Collegiate Model -Enforcement continue to work together to ensure that a more flexible, common-sense rule book that protects and enhances the student-athlete experience is paired with an enforcement structure that will strongly discourage behaviors that most clearly undermine the fundamental principles in which the bylaws are based. The current progress of the working groups has been accomplished through significant efforts to gather and apply meaningful feedback from individuals and groups ranging from presidents, compliance administrators, commissioners, athletics directors, head coaches, coaches associations, and professional organizations such as FARA, IA FAR's and NAAC.

The Enforcement Working Group presented its report and recommendations to the NCAA Division I Board of Directors at its August 2 meeting. It is anticipated that the Board will act on those recommendations at its October 30 meeting. The Rules Working Group has diligently worked toward developing a new regulatory model and its first set of legislative recommendations will be presented to the Board at its October meeting in anticipation of the Board taking action in January 2013.

Progress is occurring but we also know that the work is not complete. Provided in this publication are the initial set of legislative concepts developed and refined by the Rules Working Group based on membership feedback of those concepts. Providing these concepts in legislative proposal format kicks off the second major feedback loop which will continue through the September cabinet and October council meetings and culminate with initial legislative recommendations forwarded to the Board in October. It is anticipated that the Board will take initial action on the first set (Phase I) of legislative proposals during its January 2013 meeting following discussion at the NCAA Convention. Feedback on this set of proposals or any concept still under discussion may be submitted to rulesworkinggroup@ncaa.org or through the Rules Working Group web page at http://www.ncaa.org/workinggroups. A detailed timeline of the Rules Working Group's effort is also available at that site.

The working group also continues to develop a second package of legislative recommendations to present to the Board for action at a later date in 2013. Phase II of the Rules Working Group's reform agenda will include a review of NCAA Bylaw 15 (Financial Aid), Bylaw 17 (Playing Seasons) and additional concepts from the aforementioned bylaws.

Thank you for the continued engagement in this significant reform effort.

Sincerely, President James Barker Clemson University Rules Working Group, chair

RWG PROPOSAL NO. 2-1 -- PRINCIPLES FOR CONDUCT OF INTERCOLLEGIATE ATHLETICS -- COMMITMENTS TO THE COLLEGIATE MODEL

Intent: To establish the commitments to the collegiate model applicable to Division I institutions, as specified.

Constitution: Amend 2, as follows:

2 Principles for Conduct of Intercollegiate Athletics

[2.01 through 2.16 unchanged.]

2.17 Commitments of the Collegiate Model -- Division I.

2.17.1 The Commitment to Value-Based Legislation.

Bylaws proposed and enacted by the Association's members governing the conduct of intercollegiate athletics shall be designed to advance the Collegiate Model, foster competition in amateur athletics and promote the Association's enduring values set forth in this Constitution. In some instances, a careful balancing of these values may be necessary to help achieve the purposes of the Association.

2.17.2 The Commitment to Amateurism.

Member institutions shall conduct their athletics programs for students who participate as an avocation and as part of their educational experience, thus maintaining a line of demarcation between student-athletes who choose to participate in the Collegiate Model and athletes competing in the professional model.

2.17.3 The Commitment to Fair Competition.

Bylaws of the Association shall be designed to promote the opportunity for institutions and eligible student-athletes to engage in fair competition. The concept of fair competition requires that all member institutions compete within the framework of the Collegiate Model of athletics in which athletics competition is an integral part of the student-athlete's pursuit of higher education. Areas to be regulated include, but are not limited to, personnel, eligibility, amateurism, recruiting, financial aid, the length of playing and practice seasons and the number of institutional competitions per sport. Fair competition does not support bylaws designed to prevent or reduce the advantages that arise from a student-athlete's level of talent or an institution's financial or other natural advantages.

2.17.4 The Commitment to Integrity and Sportsmanship.

It is the responsibility of each member institution to conduct its athletics programs and manage its representatives and student-athletes in a manner that promotes the ideals of higher education and the integrity of intercollegiate athletics. Member institutions are committed to encouraging behavior that advances the interests of the Association, its membership and the Collegiate Model of athletics. All individuals associated with intercollegiate athletics programs and events should adhere to such fundamental values as

respect, fairness, civility, honesty, responsibility, academic integrity and ethical conduct. These values should be manifest not only in athletics participation, but also in the broad spectrum of activities affecting the athletics programs.

2.17.5 The Commitment to Institutional Control and Compliance.

It is the responsibility of each member institution to monitor and control its athletics programs, staff members, representatives and student-athletes to ensure compliance with the Constitution and bylaws of the Association. It is also the responsibility of each member institution to report all instances of noncompliance to the Association in a timely manner and cooperate with the Association's enforcement efforts. Upon a finding of one or more violations, an institution shall be subject to such disciplinary and corrective actions as may be prescribed by the Association on behalf of the entire membership. Responsibility for maintaining institutional control ultimately rests with the institution's president or chancellor and its governing board.

2.17.6 The Commitment to Student-Athlete Well-Being.

Intercollegiate athletics programs shall be conducted in a manner designed to enhance the well-being of student-athletes who choose to participate and to prevent undue commercial or other influences that may interfere with their scholastic, athletics or related interests. The time required of student-athletes for participation in intercollegiate athletics shall be regulated to minimize interference with their academic pursuits. It is the responsibility of each member institution to establish and maintain an environment in which student-athletes' activities are conducted to encourage academic success and individual development and as an integral part of the educational experience. Each member institution should also provide an environment that fosters fairness, sportsmanship, safety, honesty and positive relationships between student-athletes and representatives of the institution.

2.17.7 The Commitment to Sound Academic Standards.

Standards of the Association governing participation in intercollegiate athletics, including postseason competition, shall be designed to ensure proper emphasis on educational objectives and the opportunity for academic success, including graduation, of student-athletes who choose to participate. Intercollegiate athletics programs shall be maintained as a vital component of the educational program and student-athletes shall be an integral part of the student body. Each member institution's admission and academic standards for student-athletes shall be consistent with the standards adopted by the institution for the student body in general.

2.17.8 The Commitment to Responsible Recruiting Standards.

Recruiting bylaws shall be designed to promote informed decisions and balance the interests of prospective student-athletes, their educational institutions, the Association's member institutions and intercollegiate athletics as a whole. This commitment includes minimizing the role of external influences on prospective student-athletes and their families and preventing excessive contact or pressure in the recruitment process.

2.17.9 The Commitment to Diversity and Inclusion.

The Association shall promote an atmosphere of respect for and sensitivity to the dignity of every person and the inclusion of diverse perspectives in the pursuit of academic and athletic excellence. The Association should not adopt bylaws that would prevent member institutions from promoting diversity or from complying with applicable laws, ordinances or policies regarding civil rights or gender equity.

2.17.9.1 Nondiscrimination. It is the policy of the Association to refrain from discrimination with respect to its educational programs, activities and employment policies, including on the basis of age, color, disability, gender, national origin, race, religion, creed, sexual orientation, genetic information or any other classification protected by federal law. It is the responsibility of each member institution to comply with applicable laws regarding nondiscrimination and to determine independently and adhere to its own nondiscrimination policies.

2.17.9.2 Governance Diversity. The Association shall promote diversity of representation within its governance structure. This commitment includes assuring diverse membership in Association-wide bodies, each divisional governing body and its supporting committees, cabinets, councils and other groups.

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Principles for Conduct of Intercollegiate Athletics

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. As part of the Working Group's charge, it became evident that certain provisions of the NCAA Constitution should be amended to more accurately reflect their application to a Division I Collegiate Model. Currently, Constitution 2 contains 16 different principles to which members of all three divisions of the Association are committed. The principles are designed to articulate priorities at the highest level and to set the overall direction for the Association. The recommended commitments are designed to more accurately capture the fundamental principles of the Division I collegiate model, update language, and streamline and simplify fundamental provisions.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 11-1 -- ATHLETICS PERSONNEL -- CONTRACTUAL AGREEMENTS, COMPENSATION AND REMUNERATION

Intent: To deregulate national legislation governing contractual agreements and compensation from sources outside the institution; further, to specify that an institution shall establish and adhere to policies and procedures related to the reporting by all full-time or part-time employees of athletically related income and benefits from sources outside the institution.

Bylaws: Amend 11, as follows:

- 11 Conduct and Employment of Athletics Personnel
- [11.01 through 11.1 unchanged.]
- 11.2 Contractual Agreements.
- 11.2.1 Stipulation That NCAA Enforcement Provisions Apply. Contractual agreements or appointments between a coach and an institution shall include the stipulation that a coach who is found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures, including suspension without pay or termination of employment for significant or repetitive violations.
- 11.2.2 Athletically Related Income. Contractual agreements, including letters of appointment, between a full time or part time athletics department staff member (excluding secretarial or elerical personnel) and an institution shall include the stipulation that the staff member is required to provide a written detailed account annually to the president or chancellor for all athletically related income and benefits from sources outside the institution. In addition, the approval of all athletically related income and benefits shall be consistent with the institution's policy related to outside income and benefits applicable to all full time or part time employees. Sources of such income shall include, but are not limited to, the following:
- (a) Income from annuities;
- (b) Sports camps;
- (c) Housing benefits (including preferential housing arrangements);
- (d) Country club memberships;
- (e) Complimentary ticket sales;
- (f) Television and radio programs; and
- (g) Endorsement or consultation contracts with athletics shoe, apparel or equipment manufacturers.

- 11.3 Compensation and Remuneration.
- 11.32.1 Control of Employment and Salaries. The institution, as opposed to any outside source, shall remain in control of determining who is to be its employee and the amount of salary the employee is to receive within the restrictions specified by *NCAA legislation* institutional policy.
- 11.32.2 Income in Addition to Institutional Salary. An institution shall establish and adhere to policies and procedures related to the reporting by all full-time or part-time employees of athletically related income and benefits from sources outside the institution.
 - 11.3.2.1 Bona Fide Outside Employment. A staff member may earn income in addition to the institutional salary by performing services for outside groups.
 - 11.3.2.2 Supplemental Pay. An outside source is prohibited from paying or regularly supplementing an athletics department staff member's annual salary and from arranging to supplement that salary for an unspecified achievement. This includes the donation of eash from outside sources to the institution earmarked for the staff member's salary or supplemental income. It would be permissible for an outside source to donate funds to the institution to be used as determined by the institution, and it would be permissible for the institution, at its sole discretion, to use such funds to pay or supplement a staff member's salary.
 - 11.3.2.3 Bonuses for Specific and Extraordinary Achievement. An institution may permit an outside individual, group or agency to supplement an athletics department staff member's salary with a direct cash payment in recognition of a specific and extraordinary achievement (e.g., contribution during career to the athletics department of the institution, winning a conference or national championship, number of games or meets won during career/season), provided such a cash supplement is in recognition of a specific achievement and is in conformance with institutional policy.
 - 11.3.2.4 Noninstitutional Publications That Report on Athletics Program. Athletics department staff members shall not endorse (either orally or in writing) any noninstitutional publication dedicated primarily to reporting on an institution's athletics activities, except as provided in this section, and shall not write for such publications
 - 11.3.2.4.1 Educational Articles. Athletics department staff members may write educational articles related to NCAA rules and crowd control for noninstitutional publications dedicated primarily to reporting on an institution's athletics activities.
 - 11.3.2.5 Recruiting Service Consultants. Institutional athletics department staff members may not endorse, serve as consultants or participate on advisory panels for any recruiting or scouting service involving prospective student athletes
 - 11.3.2.6 Quotations and Pictures Used to Promote a Camp. An institution's coaching staff member may not promote a noninstitutional camp or clinic by permitting the use of

his or her quotations and/or pictures in the camp or clinic brochure, unless that coaching staff member is employed by the camp.

11.3.2.7 Consultant for or Endorsement of Noninstitutional Athletics Events Involving Prospective Student-Athletes. An athletics department staff member may not serve as a consultant for a noninstitutional athletics event that primarily involves prospective student-athletes and may not endorse or promote such an event.

11.3.2.8 Promotion or Endorsement of a Prospective Student Athlete's Team, Coach or Athletics Facility. An athletics department staff member shall not promote or endorse a prospective student-athlete's team or coach, or an athletics facility that is primarily used by prospective student-athletes.

[11.4 through 11.7 renumbered as 11.3 through 11.6, unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model – Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Personnel

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model -- Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The regulations governing contractual agreements between an institution and its athletics department staff members, and those governing the receipt of compensation from sources outside the institution do not need to be administered at the national level. It should be each institution's responsibility to address such matters in accordance with its own policies and procedures. Failure to establish and adhere to such policies and procedures would constitute a violation and could result in more stringent institutional and individual penalties under the proposed new enforcement penalty structure.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 11-2 -- ATHLETICS PERSONNEL -- LIMITATIONS ON THE NUMBER AND DUTIES OF COACHES -- ELIMINATION OF RECRUITING COORDINATION FUNCTIONS

Intent: To eliminate legislation related to recruiting coordination functions that must be performed by head or assistant coaches.

A. Bylaws: Amend 11.01, as follows:

11.01 Definitions and Applications.

[11.01.1 through 11.01.2 unchanged.]

11.01.3 Coach, Graduate Assistant -- Bowl Subdivision Football and Women's Rowing. In bowl subdivision football, a graduate assistant coach is any coach who has received a baccalaureate degree and has either received his or her first baccalaureate degree or has exhausted athletics eligibility (whichever occurs later) within the previous seven years and qualifies for appointment as a graduate assistant under the policies of the institution. In women's rowing, a graduate assistant coach is any coach who has received a baccalaureate degree and qualifies for appointment as a graduate assistant under the policies of the institution. In bowl subdivision football and women's rowing, the individual is not required to be enrolled in a specific graduate degree program unless required by institutional policy. The following provisions shall apply:

[11.01.3-(a) through 11.01.3-(h) unchanged.]

(i) The individual may not evaluate or contact prospective student-athletes off campus, regardless of whether compensation is received for such activities. The individual may not perform recruiting coordination functions (see Bylaw 11.7.1.2); however, it is permissible for a graduate assistant coach to make telephone calls to and receive telephone calls from prospective student-athletes, provided the coach has successfully completed the coaches' certification examination per Bylaw 11.5.1.1.

[11.01.3.1 unchanged.]

11.01.4 Coach, Undergraduate Student Assistant. An undergraduate student assistant coach is any coach who is a student-athlete who has exhausted his or her eligibility in the sport or has become injured to the point that he or she is unable to practice or compete ever again, and who meets the following additional criteria:

[11.01.4-(a) through 11.01.4-(c) unchanged.]

(d) Is not involved in contacting and evaluating prospective student-athletes off campus or scouting opponents off campus and does not perform recruiting coordination functions (see Bylaw 11.7.1.2.2).

- 11.01.5 Coach, Volunteer. In sports other than football and basketball, a volunteer coach is any coach who does not receive compensation or remuneration from the institution's athletics department or any organization funded in whole or in part by the athletics department or that is involved primarily in the promotion of the institution's athletics program (e.g., booster club, athletics foundation association). The following provisions shall apply:
- (a) The individual is prohibited from contacting and evaluating prospective student-athletes off campus or from scouting opponents off campus and may not perform recruiting coordination functions (see Bylaw 11.7.1.2).

[Remainder of 11.01 unchanged.]

- **B. Bylaws:** Amend 11.7.1, as follows:
- 11.7.1 General Provisions Applicable to All Sports with Numerical Coaching Limits.
 - 11.7.1.1 Designation of Coaching Category. An individual who coaches and either is uncompensated or receives compensation or remuneration of any sort from the institution, even if such compensation or remuneration is not designated for coaching, shall be designated as a head coach, assistant coach, volunteer coach, graduate assistant coach or student assistant coach by certification of the institution.

[11.7.1.1.1 through 11.7.1.1.2 unchanged.]

- 11.7.1.2 Recruiting Coordination Functions. The following recruiting coordination functions (except related routine clerical tasks) must be performed by the head coach or one or more of the assistant coaches who count toward the numerical limitations in Bylaw 11.7.4:
- (a) Activities involving athletics evaluations and/or selection of prospective studentathletes; and
- (b) Making telephone calls to prospective student athletes (or prospective student athletes' parents, legal guardians or coaches).
 - 11.7.1.2.1 Exception Graduate Assistant Coach Bowl Subdivision Football and Women's Rowing. In bowl subdivision football and women's rowing, a graduate assistant coach may perform the functions set forth in Bylaw 11.7.1.2 (a) (on campus only) and 11.7.1.2 (b) if the coach has successfully completed the coaches' certification examination per Bylaw 11.5.1.1.
 - 11.7.1.2.2 Exceptions Noncoaching Staff Members and Noncountable Coaches.
 - (a) After National Letter of Intent Signing or Other Written Commitment. A noncoaching staff member or a coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may perform the

- functions set forth in Bylaw 11.7.1.2-(b) after the calendar day on which the prospective student-athlete signs a National Letter of Intent or the institution's written offer of admission and/or financial aid.
- (b) After Receipt of Financial Deposit. A noncoaching institutional staff member or a coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may perform the functions set forth in Bylaw 11.7.1.2-(b) after the calendar day on which the institution receives a financial deposit in response to the institution's offer of admission.
- (c) Telephone Calls in Conjunction with Official Visit. A noncoaching staff member or coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may initiate telephone calls to a prospective student athlete or those individuals accompanying the prospective student athlete during the prospective student-athlete's official visit transportation and during his or her official visit.
- (d) Telephone Calls Regarding Institutional Camp or Clinic Logistical Issues. A noncoaching staff member or coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may initiate telephone calls to a prospective student-athlete (or his or her parents, legal guardians, relatives or coach) that relate solely to institutional camp or clinic logistical issues (e.g., missing registration information), provided no recruiting conversation or solicitation of particular individuals to attend a camp or clinic occurs during such calls.
- **C. Bylaws:** Amend 13.1.2.5.2, as follows:
- 13.1.2.5.2 Department-Wide Responsibilities. An athletics department staff member who has department-wide responsibilities (e.g., recruiting coordinator) may not contact or evaluate prospective student-athletes off campus unless the staff member is counted as a countable coach in the applicable sport (see Bylaw 11.7.1.2 for restrictions related to recruiting coordination functions). [D]
- **D.** Bylaws: Amend 13.1.3, as follows:
- 13.1.3 Telephone Calls.
 - [13.1.3.1 through 13.1.3.2 unchanged.]
 - 13.1.3.3 Exceptions.
 - 13.1.3.3.1 Official-Visit Exception. Institutional *coaching* staff members *(see Bylaw 13.1.3.4.1)* may make unlimited telephone calls to a prospective student-athlete during the five days immediately preceding the prospective student-athlete's official visit (per Bylaw 13.6) to that institution. If more than one call

per week occurs under this exception and a scheduled official visit is canceled due to circumstances beyond the control of the prospective student-athlete or the institution (e.g., trip is canceled by the prospective student-athlete, inclement weather conditions), such calls shall not be considered institutional violations and shall not affect the prospective student-athlete's eligibility. However, the institution shall submit a report to the conference office noting the cancellation of the official visit and the reasons for such cancellation.

- 13.1.3.3.1.1 Telephone Calls in Conjunction with Official Visit. *Athletics department* **Institutional** staff members may make unlimited telephone calls to a prospective student-athlete or those individuals accompanying the prospective student-athlete during the prospective student-athlete's official visit transportation and during his or her official visit. *(See Bylaw 11.7.1.2.2.)*
- 13.1.3.3.2 Letter-of-Intent Signing-Date Exception. Institutional *coaching* staff members *(see Bylaw 13.1.3.4.1)* may make unlimited telephone calls to a prospective student-athlete on the initial date for the signing of the National Letter of Intent and during the two days immediately following the initial signing date.
 - 13.1.3.3.2.1 Football Exception. In football, institutional *coaching* staff members may make unlimited telephone calls to prospective student-athletes during the period 48 hours before and 48 hours after 7 a.m. on the initial signing date for the National Letter of Intent.

[13.1.3.3.3 unchanged.]

13.1.3.3.4 Off-Campus Contact Exception. Institutional *coaching* staff members *(see Bylaw 13.1.3.4.1)* may make unlimited telephone calls to a prospective student-athlete on the day a permissible, in-person, off-campus contact occurs with the prospective student-athlete.

13.1.3.4 Permissible Callers.

13.1.3.4.1 Institutional Coaching Staff Members — General Rule. All telephone calls made to a prospective student-athlete (or the prospective student-athlete's parents, legal guardians or coaches) must be made by the head coach or one or more of the assistant coaches who count toward the numerical limitations in Bylaw 11.7.4 (see Bylaw 11.7.1.2). In bowl subdivision football and women's rowing, such telephone calls also may be made by a graduate assistant coach, provided the coach has successfully completed the coaches' certification examination per Bylaw 11.5.1.1.

13.1.3.4.1.1 Exceptions Before Commitment. Before a prospective student athlete signs a National Letter of Intent or the institution's written offer of admission and/or financial aid or before the institution receives a

financial deposit in response to the institution's offer of admission, the following institutional staff members may make telephone calls to a prospective student athlete (or the prospective student athlete's parents or legal guardians) as specified:

(a) President or Chancellor/Faculty Athletics Representative/Director of Athletics/Senior Woman Administrator. It is permissible for an institution's chancellor or president, faculty athletics representative, director of athletics and senior woman administrator to return (as opposed to initiate) telephone calls from a prospective student-athlete (or the prospective student-athlete's parents or legal guardians). Under such circumstances, there are no restrictions on the content of the conversation that may occur during the call; however, any return call is subject to any applicable limitations on the number of telephone calls that an institution may place to a prospective student-athlete.

(b) Academic Advisors. It is permissible for academic advisors (including academic advisors within the athletics department) to make calls to a prospective student athlete (or the prospective student athlete's parents or legal guardians) related to admissions or academic issues, subject to any applicable limitation on the number of telephone calls an institution may place to a prospective student-athlete.

(c) Compliance Administrators. It is permissible for a compliance administrator to make telephone calls to or receive telephone calls from a prospective student-athlete (or the prospective student-athlete's parents or legal guardians) with no limit on the timing or number of such telephone calls, provided the calls relate only to compliance issues.

(d) Noncoaching Staff Members and Noncountable Coaches -- Telephone Calls in Conjunction with Official Visit. A noncoaching staff member or a coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may initiate telephone calls to a prospective student athlete or those individuals accompanying the prospective student-athlete during the prospective student-athlete's official visit transportation and during his or her official visit.

13.1.3.4.1.2 Exception Noncoaching Staff Members and Noncountable Coaches After Commitment. A noncoaching institutional staff member or a coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may make telephone calls to a prospective student-athlete (or the prospective student-athlete's relatives or legal guardians) beginning the calendar day after one of the following events occurs:

(a) The prospective student-athlete signs a National Letter of Intent (NLI) or the institution's written offer of admission and/or financial aid; or

(b) The institution receives a financial deposit in response to the institution's offer of admission.

[13.1.3.5 renumbered as 13.1.3.4, unchanged.]

13.1.3.65 Collect and Toll-Free Telephone Calls. Institutional *coaching* staff members (see Bylaw 13.1.3.4.1) may accept collect and toll-free (e.g., 1-800, 1-888) telephone calls placed by a prospective student-athlete and the prospective student-athlete's parents and legal guardians, provided the calls are placed not earlier than July 1 following completion of the prospective student-athlete's junior year in high school.

13.1.3.65.1 Exception -- Men's Basketball. In men's basketball, institutional *coaching* staff members may accept collect and toll-free (e.g., 1-800, 1-888) telephone calls placed by a prospective student-athlete and the prospective student-athlete's parents and legal guardians, provided the calls are placed not earlier than the date on which an institution may begin placing telephone calls to a prospective student-athlete.

13.1.3.65.2 Exception -- Women's Basketball. In women's basketball, institutional *coaching* staff members may accept collect and toll-free telephone calls placed by a prospective student-athlete and the prospective student-athlete's parents and legal guardians, provided the calls are not placed earlier than the date on which an institution may begin placing telephone calls to the prospective student-athlete (see Bylaw 13.1.3.1.3).

[13.1.3.7 renumbered as 13.1.3.6, unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model – Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Personnel

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The regulations defining recruiting coordination functions that must be performed only by a head or assistant coach are not of national significance and, in many instances, present enforcement challenges. Each institution should be responsible for establishing its own policies and procedures related to the recruitment of prospective student-athletes, including the roles and

responsibilities of all athletics department staff members. The current rules requiring off-campus recruitment to be performed only by countable coaches would remain applicable.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 11-3-A -- PERSONNEL -- DEREGULATION OF SCOUTING OF OPPONENTS -- COUNTABLE COACHES ONLY

Intent: To deregulate the restrictions on scouting opponents; further, to specify that scouting of opponents is limited to the head coach or one or more of the assistant coaches who count toward the numerical limitations on coaches.

Bylaws: Amend 11.6, as follows:

11.6 <u>Limitations on</u> Scouting of Opponents. <u>Scouting of opponents is limited to the head coach or one or more of the assistant coaches who count toward the numerical limitations in Bylaw 11.7.4.</u>

11.6.1 Basketball, Football and Women's Volleyball Prohibition. In basketball, football and women's volleyball, off-campus, in-person scouting of opponents is prohibited, except as provided in Bylaw 11.6.1.1.

11.6.1.1 Regular Season Tournaments, Double Header Events or Postseason Tournaments. An institution may pay the expenses of a member of its coaching staff to attend a regular-season or postseason tournament or, in basketball, a double-header event in which the institution's intercollegiate team is a participant. Under such circumstances, the individual may scout future opponents also participating in the same tournament at the same site or, in basketball, the same double header event at the same site, without being subject to the scouting prohibition.

11.6.1.2 Purchasing Video -- Postseason. In basketball and women's volleyball, following the selection of any postseason championship field until the conclusion of the championship, it shall be permissible for the participating institution to pay the costs of purchasing video for scouting purposes from individuals or professional scouting services.

11.6.2 Sports Other Than Basketball, Football and Women's Volleyball. In sports other than basketball, football and women's volleyball, a member institution shall not pay or permit the payment of expenses incurred by its athletics department staff members or representatives (including professional scouting services) to scout its opponents or individuals who represent its opponents, except as provided in Bylaw 11.6.3 in lacrosse. A coaching staff member who receives any expenses from the institution related to recruiting or team travel shall not scout an institution's opponents in conjunction with such travel.

11.6.2.1 Regular-Season or Postseason Tournaments. An institution may pay the expenses of a member of its coaching staff to attend a regular-season or postseason tournament in which the institution's intercollegiate team is a participant. Under such circumstances, the individual may scout future tournament opponents also participating in the same tournament at the same site.

11.6.3 Lacrosse. In lacrosse, it shall be permissible for an institution to pay the expenses of one person to scout each opponent on one occasion. When an individual is reimbursed to travel by automobile to scout an opponent, others who travel with the individual in the same vehicle to the site are not considered to have received transportation expenses. A coach may scout opponents at his or her own expense.

11.6.3.1 Regular-Season or Postseason Tournaments. An institution may pay the expenses of a member of its coaching staff to attend a regular season or postseason tournament in which the institution's intercollegiate team is a participant. Under such circumstances, the individual may scout future tournament opponents also participating in the same tournament at the same site without being subject to the one-occasion limitation.

11.6.3.2 Salary Increases to Cover Scouting Expenses. It is not permissible for an institution to increase a staff member's salary to cover expenses incurred by the staff member in scouting opponents in accordance with the provisions of Bylaw 11.6.3.

11.6.3.3 Designating the Opponent. If two opponents of an institution compete against each other and an individual receives expenses for scouting the contest, the institution may designate the opponent it is scouting and then pay expenses to scout the other opponent on another occasion. If two individuals receive expenses to scout the contest, each opponent shall be considered to have been scouted on one occasion.

11.6.4 Cost of Exchanging Video. It shall be permissible to pay the costs of exchanging video for scouting purposes in any sport, including the expenses of an individual traveling to pick up the video.

11.6.4.1 Use of Commercial Entity. It shall be permissible in all sports for an institution to obtain video of a future opponent's athletics contests for scouting purposes from a commercial entity that provides video recording/dubbing services, provided the institution requesting the video pays no fees or expenses related to obtaining the video of the future opponent's athletics contests, except for providing a blank videotape or DVD (or other medium) and paying postage costs.

11.6.5 Complimentary Game Tickets. In sports in which it is permissible to scout opponents, a member institution's staff member is permitted to receive press-box tickets or complimentary tickets from an opponent for the purpose of scouting the opponent.

11.6.5.1 Lacrosse. In lacrosse, receipt of press box tickets or complimentary tickets from an opponent shall constitute a countable scouting opportunity.

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Personnel

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model -- Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The regulations governing scouting of opponents are not of national significance. Widespread availability of video would suggest minimal competitive advantage would be gained from in-person scouting and would be offset by a coach's diversion from other coaching responsibilities.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 11-3-B -- PERSONNEL -- LIMITATION ON SCOUTING OF OPPONENTS -- IN-PERSON SCOUTING PROHIBITED

Intent: To prohibit in-person scouting except for scouting future opponents participating in the same tournament at the same site or the same double header event at the same site.

Bylaws: Amend 11.6, as follows:

- 11.6 Limitations on Scouting of Opponents.
- 11.6.1 <u>Basketball, Football and Women's Volleyball</u> <u>In-Person Scouting</u> Prohibition. <u>In basketball, football and women's volleyball, off Off</u>-campus, in-person scouting of opponents is prohibited, except as provided in Bylaw 11.6.1.1.
 - 11.6.1.1 Regular-Season Tournaments, Exception -- Double-Header Events or Postseason Tournaments. An institution may pay the expenses of a member of its institution's coaching staff to attend a regular season or postseason tournament or, in basketball, a double header event in which the institution's intercollegiate team is a participant. Under such circumstances, the individual may scout future opponents also participating in the same tournament at the same site or, in basketball, the same double-header event at the same site, without being subject to the scouting prohibition.
 - 11.6.1.1.1 Complimentary Game Tickets. An institution's coaching staff member is permitted to receive press-box tickets or complimentary tickets from an opponent for the purpose of scouting the opponent during a regular-season tournament, double-header event or postseason tournament.
 - 11.6.1.2 Purchasing Video -- Postseason. *In basketball and women's volleyball, following*Following the selection of any postseason championship field until the conclusion of the championship, it shall be permissible for the participating institution to pay the costs of purchasing video for scouting purposes from individuals or professional scouting services.
- 11.6.2 Sports Other Than Basketball, Football and Women's Volleyball. In sports other than basketball, football and women's volleyball, a member institution shall not pay or permit the payment of expenses incurred by its athletics department staff members or representatives (including professional scouting services) to scout its opponents or individuals who represent its opponents, except as provided in Bylaw 11.6.3 in lacrosse. A coaching staff member who receives any expenses from the institution related to recruiting or team travel shall not scout an institution's opponents in conjunction with such travel.
 - 11.6.2.1 Regular Season or Postseason Tournaments. An institution may pay the expenses of a member of its coaching staff to attend a regular season or postseason tournament in which the institution's intercollegiate team is a participant. Under such

circumstances, the individual may scout future tournament opponents also participating in the same tournament at the same site.

11.6.3 Lacrosse. In lacrosse, it shall be permissible for an institution to pay the expenses of one person to scout each opponent on one occasion. When an individual is reimbursed to travel by automobile to scout an opponent, others who travel with the individual in the same vehicle to the site are not considered to have received transportation expenses. A coach may scout opponents at his or her own expense.

11.6.3.1 Regular-Season or Postseason Tournaments. An institution may pay the expenses of a member of its coaching staff to attend a regular-season or postseason tournament in which the institution's intercollegiate team is a participant. Under such circumstances, the individual may scout future tournament opponents also participating in the same tournament at the same site without being subject to the one occasion limitation.

11.6.3.2 Salary Increases to Cover Scouting Expenses. It is not permissible for an institution to increase a staff member's salary to cover expenses incurred by the staff member in scouting opponents in accordance with the provisions of Bylaw 11.6.3.

11.6.3.3 Designating the Opponent. If two opponents of an institution compete against each other and an individual receives expenses for scouting the contest, the institution may designate the opponent it is scouting and then pay expenses to scout the other opponent on another occasion. If two individuals receive expenses to scout the contest, each opponent shall be considered to have been scouted on one occasion.

11.6.42 Cost of Exchanging Video. It shall be permissible to pay the costs of exchanging video for scouting purposes in any sport, including the expenses of an individual traveling to pick up the video.

11.6.42.1 Use of Commercial Entity. It shall be permissible in all sports for an institution to obtain video of a future opponent's athletics contests for scouting purposes from a commercial entity that provides video recording/dubbing services, provided the institution requesting the video pays no fees or expenses related to obtaining the video of the future opponent's athletics contests, except for providing a blank videotape or DVD (or other medium) and paying postage costs.

11.6.5 Complimentary Game Tickets. In sports in which it is permissible to scout opponents, a member institution's staff member is permitted to receive press box tickets or complimentary tickets from an opponent for the purpose of scouting the opponent.

11.6.5.1 Lacrosse. In lacrosse, receipt of press-box tickets or complimentary tickets from an opponent shall constitute a countable scouting opportunity.

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Personnel

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. In the interest of simplicity and consistency, it is appropriate for one rule regarding scouting to apply to all sports. In most cases, video of future opponents is readily available either through institutional exchange, subscription to a recording/dubbing service or internet sites accessible to the general public.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 11-4 -- ATHLETICS PERSONNEL -- LIMITATIONS ON THE NUMBER AND DUTIES OF COACHES -- ELIMINATION OF LIMITATIONS ON NUMBER OF OFF-CAMPUS RECRUITERS AT ANY ONE TIME

Intent: To eliminate the limitations on the number of coaches who may recruit off campus at any one time.

A. Bylaws: Amend 11.7, as follows:

11.7 Limitations on the Number and Duties of Coaches.

[11.7.1 unchanged.]

11.7.2 Bowl Subdivision Football. There shall be a limit of one head coach, nine assistant coaches and four graduate assistant coaches who may be employed by an institution in bowl subdivision football.

[11.7.2.1 unchanged.]

11.7.2.2 Contact and Evaluation of Prospective Student-Athletes. Only those coaches who are counted by the institution within the numerical limitations on head and assistant coaches may contact or evaluate prospective student-athletes off campus. *In addition, there is a limit of seven coaches (including the head coach) who may contact or evaluate prospective student athletes off campus at any one time (see Bylaw 11.7.4).*

11.7.2.2.1 Exception -- Fall Evaluation Period. Ten coaches (including the head coach) may evaluate prospective student-athletes at any one time during one week (any seven consecutive days) of the fall evaluation period in which no regular-season competition is scheduled. Before its first regular season contest, the institution shall declare, in writing, the week in which it will use this exception. The selected week shall not be revised after the declaration deadline. The institution shall submit the declaration to its conference office. An independent institution shall keep the declaration on file in the office of the athletics director.

11.7.2.2.2 Banquets or Meetings. A coaching staff member who attends a banquet or meeting that is designed to recognize prospective student-athletes that occurs during a contact or evaluation period is considered an off-campus recruiter, regardless of whether the coach is speaking at the banquet/meeting or only attending the function. Further, the coach is not permitted to attend such a recognition banquet or meeting that occurs outside a contact or evaluation period, unless the coach has been asked to speak at the function (see Bylaws 13.1.8 and 13.02.5.5).

11.7.2.2.3 Additional Recruiters National Service Academies. For the national service academies, the off-campus contact and evaluation of prospective student-

athletes shall be limited to a total of nine coaches at any one time, which may include the head coach.

11.7.3 Championship Subdivision Football. There shall be a limit of 11 coaches of any type who may be employed by an institution in championship subdivision football.

[11.7.3.1 unchanged.]

11.7.3.2 Off-Campus Contact and Evaluation of Prospective Student-Athletes. Only those coaches who are counted by the institution within the numerical limitations on head and assistant coaches may contact or evaluate prospective student-athletes off campus. *In addition, there is a limit of seven coaches (including the head coach) who may contact and evaluate prospective student athletes off campus at any one time (see Bylaw 11.7.4)*.

11.7.3.2.1 Exception -- Fall Evaluation Period. Ten coaches (including the head coach) may evaluate prospective student-athletes at any one time during one week (any seven consecutive days) of the fall evaluation period in which no regular-season competition is scheduled. Before its first regular season contest, the institution shall declare, in writing, the week in which it will use this exception. The selected week shall not be revised after the declaration deadline. The institution shall submit the declaration to its conference office. An independent institution shall keep the declaration on file in the office of the athletics director.

11.7.3.2.2 Banquets or Meetings. A coaching staff member who attends a banquet or meeting that is designed to recognize prospective student-athletes that occurs during a contact or evaluation period is considered an off-campus recruiter, regardless of whether the coach is speaking at the banquet/meeting or only attending the function. Further, the coach is not permitted to attend such a recognition banquet or meeting that occurs outside a contact or evaluation period, unless the coach has been asked to speak at the function (see Bylaws 13.1.8 and 13.02.5.5).

11.7.4 Limitations on Number of Coaches and Off-Campus Recruiters. There shall be a limit on the number of coaches who may be employed by an institution and who may contact or evaluate prospective student-athletes off campus *at any one time* in each sport as follows:

Sport	Limit on Number of Coaches	Limit on Off Campus Recruiters
Baseball	3	2
Basketball, Men's	4	3
Basketball, Women's	4	3
Bowling, Women's	2	2
Equestrian	3	2
Fencing, Men's	2	2
Fencing, Women's	2	2

Chaut	Limit on Number	Limit on Off-Campus
Sport	of Coaches	Recruiters
Football, Bowl Subdivision (See Bylaw 11.7.2)	14	7
Football, Championship Subdivision (See Bylaw 11.7.3)	11	7
Field Hockey	3	2
Golf, Men's	2	2
Golf, Women's	2	2
Gymnastics, Men's	3	2
Gymnastics, Women's	3	2
Ice Hockey, Men's	3	2
Ice Hockey, Women's	3	2
Lacrosse, Men's	3	2
Lacrosse, Women's	3	2
Rifle, Men's	2	2
Rifle, Women's	2	2
		2 (during the
		institution's academic
Rowing, Women's	3	year)
		3 (during the
	2	summer)
Rugby, Women's	3	2
Sand Volleyball, Women's	2	2
Skiing, Men's	2	2
Skiing, Women's	2	2
Soccer, Men's	3	2
Soccer, Women's	3	2
Softball	3	2
Swimming, Men's	2	2
Swimming and Diving, Men's	3	2
Swimming, Women's	2	2
Swimming and Diving, Women's	3	2
Tennis, Men's	2	2
Tennis, Women's	2	2
Cross Country, Men's (Without Track and Field)	2	2
Track and Field, Men's	3	2
Cross Country/Track and Field, Men's	3	2
Cross Country, Women's (Without Track and Field)	2	2
Track and Field, Women's	3	2
Cross Country/Track and Field, Women's	3	2
Volleyball, Men's	3	2
Volleyball, Women's	3	2

Sport	Limit on Number	Limit on Off-Campus
	of Coaches	<i>Recruiters</i>
Water Polo, Men's	2	2
Water Polo, Women's	2	2
Wrestling	3	2

[11.7.4.1 through 11.7.4.2 unchanged.]

11.7.4.3 Off Campus Recruiting At Any One Time. It is permissible for a coach to leave campus to engage in off-campus contact or evaluation before another coach who is off campus actually returns to campus, provided the total number of coaches recruiting on behalf of the institution at any time does not exceed the permissible number. The coach being replaced must complete his or her recruiting activities before another coach may begin any off campus recruiting activity. Further, the replaced coach may not engage in additional recruiting activities until after he or she has returned to the institution's campus.

11.7.4.3.1 Exception Basketball July Evaluation Periods. During the July evaluation periods, a replaced coach is not required to return to the institution's campus before engaging in additional recruiting activities, provided not more than three coaches engage in off-campus recruiting activities each day.

11.7.4.3.2 Exception Sports Other Than Basketball June, July and August. In sports other than basketball, during June, July and August, a replaced coach is not required to return to the institution's campus before engaging in additional recruiting activities, provided not more than the permissible number of off-campus recruiters in the particular sport engage in off campus recruiting activities each day (see Bylaw 11.7.4).

11.7.4.4 Banquets or Meetings. In sports other than football, a coaching staff member who attends a banquet or meeting that is designed to recognize prospective student-athletes that occurs during a contact or evaluation period is considered an off campus recruiter in the applicable sport, regardless of whether the coach is speaking at the banquet/meeting or only attending the function. In those sports with recruiting calendars (e.g., basketball, softball), a coach is not permitted to attend such a recognition that occurs outside a contact or evaluation period, unless the coach has been asked to speak at the function (see Bylaw 13.1.8).

11.7.4.5 On Campus Events Women's Basketball. In women's basketball, a coaching staff member who attends an athletics event on the institution's campus that involves women's basketball prospective student athletes is considered an off-campus recruiter.

B. Bylaws: Amend 13.1.8, as follows:

13.1.8 Banquets, Meetings and NCAA Promotional Activities.

[13.1.8.1 through 13.1.8.2 unchanged.]

13.1.8.3 Attendance Outside a Contact or Evaluation Period. In sports with recruiting calendars (see Bylaw 13.17), a coach is not permitted to attend a banquet or meeting that is designed to recognize prospective student-athletes and that occurs outside a contact or evaluation period (or in men's basketball, a recruiting period), unless the coach has been asked to speak at the function.

[13.1.8.3 through 13.1.8.4 renumbered as 13.1.8.4 through 13.1.8.5, unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model – Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Personnel

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The limits on the number of coaches who may recruit off-campus at any one time were initially adopted as cost containment measures, but there is no data, anecdotally or otherwise, that would suggest the rules have furthered that objective. Each institution should be responsible for establishing its own policies and procedures related to the off-campus recruitment of prospective student-athletes by authorized countable coaching staff members.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 12-1 -- AMATEURISM -- DEFINITIONS AND APPLICATIONS -- ACTUAL AND NECESSARY EXPENSES

Intent: To establish a uniform definition of actual and necessary competition-related expenses.

Bylaws: Amend 12.02, as follows:

12.02 Definitions and Applications.

[12.02.1 unchanged.]

12.02.2 Actual and Necessary Expenses. Actual and necessary expenses are limited to:

- (a) Meals;
- (b) Lodging;
- (c) Apparel, equipment and supplies;
- (d) Coaching and instruction;
- (e) Health/medical insurance;
- (f) Transportation (expenses to and from practice and competition, cost of transportation from home to training/practice site at the beginning of the season/preparation for an event and from training/practice/event site to home at the end of season/event);
- (g) Medical treatment and physical therapy;
- (h) Facility usage;
- (i) Entry fees; and
- (j) Other reasonable expenses.

12.02.2.1 Application. Unless otherwise permitted by NCAA legislation, actual and necessary expenses may be provided only if such expenses are for competition on a team or in a specific event or for practice that is directly related to such competition. The value of such expenses must be commensurate with the fair market value of similar goods and services in the locality in which the expenses are provided and must not be excessive in nature. Actual and necessary expenses shall not include the expenses or fees of anyone other than the individual who participates as a member of the team or in a specific event.

[12.02.2 through 12.02.7 renumbered as 12.02.3 through 12.02.8 unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Amateurism

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. Current amateurism legislation regarding what constitutes an actual and necessary competition-related expense is inconsistent and results in confusion by the membership, as well as perceptions of unfairness to prospective and enrolled student-athletes. This change will establish a consistent definition, thus eliminating unnecessary regulations and interpretations.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 12-2 -- AMATEURISM -- DEFINITIONS AND APPLICATIONS -- CALCULATION OF ACTUAL AND NECESSARY EXPENSES

Intent: To specify that the calculation of a prospective student-athlete's actual and necessary expenses incurred prior to initial full-time collegiate enrollment shall be based on expenses incurred during each calendar year (rather than on an event-by-event basis).

Bylaws: Amend 12.02, as follows:

12.02 Definitions and Applications.

[12.02.1 unchanged.]

12.02.2 Calculation of Actual and Necessary Expenses – Prior to Initial Full-Time Collegiate Enrollment. The calculation of a prospective student-athlete's actual and necessary expenses incurred prior to initial full-time collegiate enrollment shall be based on expenses incurred during each calendar year (rather than on an event-by-event basis).

[12.02.2 through 12.02.7 renumbered as 12.02.3 through 12.02.8, unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Amateurism

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. Currently, prior to initial full-time collegiate enrollment, an individual whose annual expenses significantly exceed his or her annual prize money may still incur a violation if the prize money exceeded expenses in a single event. Calculation of expenses versus prize money over a calendar year is a more equitable, less bureaucratic approach that does not compromise the values of the collegiate model.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 12-3 -- AMATEURISM -- DEFINITIONS AND APPLICATIONS -- NOMINAL BENEFIT

Intent: To specify that prior to initial full-time enrollment at an NCAA institution, if an individual receives expenses from an otherwise permissible source (e.g., event sponsor, club team) that exceed his or her actual and necessary expenses by \$300 or less, the eligibility of the individual shall not be affected; further, to specify that if a student-athlete engages in permissible outside competition and receives expenses from an otherwise permissible source (e.g., event sponsor, club team) that exceed his or her actual and necessary expenses by \$300 or less, the eligibility of the student-athlete shall not be affected and the institution is not required to submit a self-report of the infraction.

Bylaws: Amend 12, as follows:

12.02 Definitions and Applications.

[12.02.1 through 12.02.2 unchanged.]

12.02.3 Nominal Benefit -- Prior to Initial Full-Time Enrollment at an NCAA Institution – Expenses from A Permissible Source. Prior to initial full-time enrollment at an NCAA institution, if an individual receives expenses from an otherwise permissible source (e.g., event sponsor, club team) that exceed his or her actual and necessary expenses by \$300 or less, the eligibility of the individual shall not be affected.

12.02.4 Nominal Benefit -- Enrolled Student-Athlete - Expenses for Permissible Outside Competition. If a student-athlete engages in permissible outside competition and receives expenses from an otherwise permissible source (e.g., event sponsor, club team) that exceed his or her actual and necessary expenses by \$300 or less, the eligibility of the student-athlete shall not be affected and the institution is not required to submit a self-report of the infraction.

[12.02.3 through 12.02.7 renumbered as 12.02.5 through 12.02.9, unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Amateurism

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish

those objectives. This change is consistent with the membership's efforts to streamline the student-athlete reinstatement process. Currently, an infraction in which a prospective student-athlete receives more than actual and necessary expenses from an otherwise permissible source typically results in immediate reinstatement of eligibility once he or she provides a donation in the amount of the benefit to a charity of his or her choice. In 2011-12, there were a total of 110 amateurism certification process cases in which the student-athlete reinstatement penalty was repayment only. For 43 of the 110 cases, the total penalty involved was \$300 or less. Any expenses from agents, professional teams (unless consistent with the professional team exception) or boosters generally remain impermissible regardless of the amount.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 12-4 -- AMATEURISM -- EXPENSES, AWARDS AND BENEFITS -- COMPETITION-RELATED EXPENSES FROM OUTSIDE SPONSOR

Intent: To specify that an individual may receive actual and necessary competition-related expenses from an outside sponsor other than from an agent or a professional sports organization; further, to specify that an individual may receive actual and necessary competition-related expenses from a representative of an institution's athletics interests only if the representative lives in the same locale in which the individual resides and the expenses are provided as part of a local community fundraiser.

A. Bylaws: Amend 12.1.2.1.4, as follows:

12.1.2.1.4 Expenses, Awards and Benefits. Excessive or improper expenses, awards and benefits (see Bylaw 16 regarding permissible awards, benefits and expenses to enrolled student-athletes).

[12.1.2.1.4.1 through 12.1.2.1.4.2 unchanged.]

12.1.2.1.4.3 Expenses from Outside Team or Organization. Expenses received from an outside amateur sports team or organization in excess of actual and necessary travel, room and board expenses, and apparel and equipment (for individual and team use only from teams or organizations not affiliated with member institutions, including local sports clubs as set forth in Bylaw 13.11.2.4) for competition and practice held in preparation for such competition. Practice must be conducted in a continuous time period preceding the competition except for practice sessions conducted by a national team, which occasionally may be interrupted for specific periods of time preceding the competition.

12.1.2.1.4.3.1 Donations to Outside Team or Organization. Actual and necessary expenses received from an outside team or organization may include funds donated to the general fund of the team or organization from a sponsor (e.g., neighbor, business) other than the individual's relatives or legal guardians, provided such donations are not credited to or earmarked for the prospective or enrolled student athlete.

12.1.2.1.4.3.2 Expenses/Benefits Related to Olympic Games. It is permissible for members of an Olympic team to receive all nonmonetary benefits and awards provided to members of an Olympic team beyond actual and necessary expenses, including entertainment, equipment, clothing, long distance telephone service, Internet access, and any other item or service for which it can be demonstrated that the same benefit is available to all members of that nation's Olympic team or the specific sport Olympic team in question.

[12.1.2.1.4.4 renumbered as 12.1.2.1.4.3, unchanged.]

12.1.2.1.4.54 Expenses from an Outside Sponsor Other Than Parents/Legal Guardians or Nonprofessional Sponsor of Event. Actual and necessary expenses or any other form

of compensation to participate in athletics competition (while not representing an educational institution) from a sponsor other than an individual upon whom the athlete is naturally or legally dependent or the nonprofessional organization that is sponsoring the competition. (See Bylaw 12.1.2.1.6.1.) An individual may receive actual and necessary competition-related expenses from an outside sponsor other than an agent or a professional sports organization. An individual may receive actual and necessary competition-related expenses from a representative of an institution's athletics interests only if the representative lives in the same locale in which the individual resides and the expenses are provided as part of a local community fundraiser.

12.1.2.1.4.5.1 Expenses Prior to Full-Time Collegiate Enrollment -- Individual Sports. In individual sports, prior to full time collegiate enrollment, an individual may accept up to actual and necessary expenses associated with an athletics event and practice immediately preceding the event, from a sponsor (e.g., neighbor, business) other than an agent, a member institution or a representative of an institution's athletics interests.

12.1.2.1.4.4.1 Expenses/Benefits Related to Olympic Games. Members of an Olympic team may receive all nonmonetary benefits and awards provided to members of an Olympic team beyond actual and necessary expenses, including entertainment, equipment, clothing, long distance telephone service, Internet access, and any other item or service for which it can be demonstrated that the same benefit is available to all members of that nation's Olympic team or the specific sport Olympic team in question.

[Remainder of 12.1.2.1.4 unchanged.]

B. Bylaws: Amend 12.1.2.1.6, as follows:

12.1.2.1.6 Preferential Treatment, Benefits or Services. Preferential treatment, benefits or services because of the individual's athletics reputation or skill or pay-back potential as a professional athlete, unless such treatment, benefits or services are specifically permitted under NCAA legislation. [R]

12.1.2.1.6.1 Donations Credited to or Earmarked for a Prospective or Enrolled Student-Athlete. Donations credited to or earmarked for a prospective or enrolled student-athlete for athletics participation (e.g., as an individual or a member of a team) from a sponsor (e.g., neighbor, business) other than the individual's relatives or legal guardians are considered improper benefits. (See Bylaw 12.1.2.1.4.5.1.)

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Amateurism

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. Currently, there are several bylaws addressing the provision of competition-related expenses to prospective and enrolled student-athletes that are overly complicated and bureaucratic. This proposal provides the opportunity for prospective and enrolled student-athletes to seek out additional permissible financial resources to support their athletics aspirations without compromising the fundamental purpose of the collegiate model.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 12-5 -- AMATEURISM -- PAYMENT BASED ON PERFORMANCE -- FROM AMATEUR TEAM OR EVENT SPONSOR -- SPORTS OTHER THAN TENNIS

Intent: In sports other than tennis, to specify that an individual may receive up to actual and necessary competition-related expenses in both team and individual sports from an amateur team or a sponsor of event.

A. Bylaws: Amend 12.1.2.1.5, as follows:

12.1.2.1.5 Payment Based on Performance. Any payment, *including* that exceeds actual and necessary expenses, and is conditioned on the individual's or team's place finish or performance or given on an incentive basis, or receipt of expenses in excess of the same reasonable amount for permissible expenses given to all individuals or team members involved in the competition.

B. Bylaws: Amend 12.1.2.4, as follows:

12.1.2.4 Exceptions to Amateurism Rule.

12.1.2.4.1 Exception for Prize Money *Prior to Full-Time Collegiate Enrollment* **Based on Performance** -- Sports Other Than Tennis. In sports other than tennis, *prior to collegiate enrollment*, an individual may accept prize money based on his or her place finish or performance in an *open* athletics event (*an event that is not invitation only*). Such prize money may not exceed actual and necessary expenses and may be provided only by the sponsor of the *open* event. The calculation of actual and necessary expenses shall not include the expenses or fees of anyone other than the prospective student-athlete (e.g., coach's fees or expenses, parent's expenses).

[12.1.2.4.2 unchanged.]

12.1.2.4.3 Exception for Prize Money for Prospective Student Athletes After Full-Time Collegiate Enrollment at Non-NCAA Institutions—Outside the Playing Season During the Summer Vacation Period. After a prospective student athlete has enrolled full time at a non-NCAA institution, he or she may accept prize money based on his or her place finish or performance in an open athletics event (an event that is not invitation only), provided the competition occurs outside his or her institution's declared playing and practice season during the institution's summer vacation period. Such prize money may not exceed actual and necessary expenses and may be provided only by the sponsor of the open event. The calculation of actual and necessary expenses shall not include the expenses or fees of anyone other than the prospective student-athlete (e.g., coach's fees or expenses, parent's expenses).

12.1.2.4.4 Exception for Prize Money for Student Athletes — Outside the Playing Season During the Summer Vacation Period — Individual Sports. In individual sports, a student athlete may accept prize money based on his or her place finish or performance in an open athletics event (an event that is not invitation only), provided the competition occurs

outside the institution's declared playing and practice season during the institution's summer vacation period. Such prize money may not exceed actual and necessary expenses and may be provided only by the sponsor of the open event. The calculation of actual and necessary expenses shall not include the expenses or fees of anyone other than the student athlete (e.g., coach's fees or expenses, parent's expenses).

12.1.2.4.53 Exception for Payment Based on Team Performance Before Full-Time Collegiate Enrollment — Sports Other Than Men's Ice Hockey and Skiing. In sports other than men's ice hockey and skiing, before full time collegiate enrollment, an An individual may accept payment based on his or her team's place finish or performance, or given on an incentive basis (e.g., bonus), provided the combination of such payments and expenses provided to the individual does not exceed his or her actual and necessary expenses to participate on the team. The calculation of actual and necessary expenses shall not include the expenses or fees of anyone other than the prospective student-athlete (e.g., coach's fees or expenses, parent's expenses).

[12.1.2.4.6 through 12.1.2.4.16 renumbered as 12.1.2.4.4 through 12.1.2.4.14, unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Amateurism

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. Currently, there are several bylaws addressing the provision of payment based on performance for prospective and enrolled student-athletes that are overly complicated and bureaucratic. This change would streamline the legislation for individuals prior to and following enrollment and for both individual and team sports without compromising the fundamental purpose of the collegiate model.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 12-6 -- AMATEURISM -- GENERAL REGULATIONS -- EXCEPTION FOR TRAINING EXPENSES -- GOVERNMENTAL ENTITIES

Intent: To specify that an individual may receive actual and necessary training expenses to cover developmental training, coaching, facility usage, equipment, apparel, supplies, comprehensive health insurance, travel, room and board that are provided by a governmental entity.

Bylaws: Amend 12.1.2.4, as follows:

12.1.2.4 Exceptions to Amateurism Rule.

[12.1.2.4.1 through 12.1.2.4.8 unchanged.]

12.1.2.4.9 Exception for Training Expenses. An individual (prospective or enrolled student-athlete) may receive actual and necessary expenses [including grants, but not prize money, whereby the recipient has qualified for the grant based on his or her performance in a specific event(s)] to cover developmental training, coaching, facility usage, equipment, apparel, supplies, comprehensive health insurance, travel, room and board without jeopardizing the individual's eligibility for intercollegiate athletics, provided such expenses are approved and provided directly by the U.S. Olympic Committee (USOC)₂ or the appropriate national governing body in the sport (or, for international student-athletes, the equivalent organization of that nation) or a governmental entity.

[Remainder of 12.1.2.4 unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Amateurism

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model -- Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. Several government programs currently available to athletes do not meet the criteria of the training expenses legislation. This change would reduce bureaucracy and confusion regarding the application of the applicable amateurism legislation and enhance student-athlete well-being by providing increased access to funding to further athletics development.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 12-7 -- AMATEURISM -- EMPLOYMENT

Intent: To deregulate the Bylaw 12 student-athlete employment legislation, as specified.

Bylaws: Amend 12.4, as follows:

12.4 Employment.

12.4.1 Criteria Governing Compensation to Student Athletes. Compensation may be paid to a student-athlete:

- (a) Only for work actually performed; and
- (b) At a rate commensurate with the going rate in that locality for similar services.
 - 12.4.1.4 Athletics Reputation. <u>Such compensation</u> <u>Compensation</u> may not include any remuneration for value or utility that the student-athlete may have for the employer because of the publicity, reputation, fame or personal following that he or she has obtained because of athletics ability.
- 12.4.2 Specific Athletically Related Employment Activities.
 - 12.4.2.1 Fee for Lesson Instruction. A student athlete may receive compensation for teaching or coaching sport skills or techniques in his or her sport on a fee for lesson basis, provided: [R]
 - (a) Institutional facilities are not used;
 - (b) Playing lessons shall not be permitted;
 - (c) The institution obtains and keeps on file documentation of the recipient of the lesson(s) and the fee for the lesson(s) provided during any time of the year;
 - (d) The compensation is paid by the lesson recipient (or the recipient's family) and not another individual or entity;
 - (e) Instruction to each individual is comparable to the instruction that would be provided during a private lesson when the instruction involves more than one individual at a time; and
 - (f) The student-athlete does not use his or her name, picture or appearance to promote or advertise the availability of fee-for-lesson sessions.
 - 12.4.2.2 Broken Time Payments. An individual may not receive "broken time" payments except as authorized and administered by the U.S. Olympic Committee during the period immediately preceding and including actual Olympic competition. A permitted broken-

time payment may cover financial loss as a result of absence from employment to prepare for or participate in the Olympic Games. Such compensation during any other period and payments administered independently of the USOC by other sports governing bodies (e.g., the U.S. Ski Association) are prohibited. [R]

12.4.2.2.1 Exception When Individual Not Enrolled in Regular Term. An individual may receive broken time payments administered by the U.S. Olympic Committee or the national governing body in the sport during a period when the individual is not enrolled (full or part time) in a regular term to cover financial loss as a result of absence from employment as a direct result of practicing and competing on a national team (defined in Bylaw 14.02.8), provided the amounts are consistent with the principles set forth in Bylaw 12.4.1 and do not exceed \$300 per week, and the payment period covers not more than the period from the date the individual begins practice with the national team following selection to that team to one week after the conclusion of the competition. [R]

12.4.2.3 Athletics Equipment Sales. A student-athlete may not be employed to sell equipment related to the student athlete's sport if his or her name, picture or athletics reputation is used to advertise or promote the product, the job or the employer. If the student-athlete's name, picture or athletics reputation is not used for advertising or promotion, the student-athlete may be employed in a legitimate sales position, provided he or she is reimbursed at an hourly rate or set salary in the same manner as any nonathlete salesperson. [R]

12.4.2.4 Goodwill Tour Commissions. A student-athlete representing the institution in a goodwill tour during summer months, in conjunction with the tour, may sell such items as jackets, blazers or similar institutional promotional items to booster groups or other friends of the institution on a salary, but not a commission, basis. [R]

12.4.3 Camp/Clinic Employment, General Rule. A student-athlete may be employed by his or her institution, by another institution, or by a private organization to work in a camp or clinic as a counselor, unless otherwise restricted by NCAA legislation (see Bylaw 13.12 for regulations relating to camps and clinics). Out of season playing and practice limitations may restrict the number of student athletes from the same institution who may be employed in that institution's camp (see the specific sport in Bylaw 17 for these employment restrictions and Bylaw 13.12).

12.4.4 Self-Employment. A student-athlete may establish his or her own business, provided the student athlete's name, photograph, appearance or athletics reputation are not used to promote the business.

Source: NCAA Division I Working Group on the Collegiate Model – Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Amateurism

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The requirements that compensation is for work actually performed and at a rate commensurate with the going rate in the locality for similar services are adequate and appropriate safeguards for governing employment by student-athletes. Although a student-athlete may be employed as a result of his or her value to an employer based on athletics reputation, it remains impermissible for the student-athlete to be compensated simply for the value he or she may have to the employer as a result of such reputation. In addition, it remains impermissible for a student-athlete to receive compensation or awards (other than actual and necessary expenses) in conjunction with speaking engagements or attendance at events such as luncheon meetings of booster clubs or civic organizations.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 13-1 -- RECRUITING -- PROSPECTIVE STUDENT-ATHLETE -- STUDENT-ATHLETE ON SIGNING NATIONAL LETTER OF INTENT

Intent: To specify that an individual is no longer considered a prospective student-athlete at the once he or she signs a National Letter of Intent (NLI) (or, for an institution that does not use the NLI in a particular sport, the institution's written offer of admission and/or financial aid).

Bylaws: Amend 13.02.12, as follows:

13.02.12 Prospective Student-Athlete. A prospective student-athlete is a student who has started classes for the ninth grade. In addition, a student who has not started classes for the ninth grade becomes a prospective student-athlete if the institution provides such an individual (or the individual's relatives or friends) any financial assistance or other benefits that the institution does not provide to prospective students generally. An individual remains a prospective student-athlete until one of the following occurs (whichever is earlier):

[13.02.12-(a) unchanged.]

- (b) The individual participates in a regular squad practice or competition at a four-year collegiate institution that occurs before the beginning of any term; *or*
- (c) The individual officially registers and enrolls and attends classes during the summer prior to initial enrollment; or

(d) The individual signs a National Letter of Intent (NLI) (or, for an institution that does not use the NLI in a particular sport, the institution's written offer of admission and/or financial aid).

[13.02.12.1 through 13.02.012.2 unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Recruiting

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. This proposed change is designed to further student-athlete success and well-being by allowing an individual who has demonstrated a significant commitment to attend a particular institution to be treated similarly to the enrolled student-athletes attending that institution. In

addition, this proposal would promote a greater development of the student-athlete/coach relationship by permitting increased access between the coach and an incoming signee.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 13-2 -- RECRUITING -- INITIAL DATE FOR COMMUNICATION AND IN-PERSON CONTACT

Intent: To specify that communication and in-person contact may begin with a prospective student-athlete on or after June 15 at the completion of his or her sophomore year in high school or the opening day of classes of his or her junior year in high school (as designated by the high school), whichever is earlier.

A. Bylaws: Amend 13.1.1.1, as follows:

13.1.1.1 Time Period for Off-Campus Contacts -- General Rule. Off-campus recruiting contacts shall not be made with an individual (or his or her relatives or legal guardians) before *July 1 following the completion* the opening day of his or her junior year in high school (*July 7 after the junior year in high school in women's ice hockey and July 15 after the junior year in high school in women's gymnastics*), or the opening day of classes of his or her senior year in high school (as designated by the high school), whichever is earlier. U.S. service academy exceptions to this provision are set forth in Bylaw 13.16.1.

13.1.1.1.1 Exception Men's Basketball. In men's basketball, off campus recruiting contacts shall not be made with an individual (or his relatives or legal guardians) before the opening day of his junior year in high school. Contacts that occur during a prospective student-athlete's junior year during recruiting periods other than the April recruiting period may occur only at the prospective student athlete's educational institution. During the April recruiting period of a prospective student athlete's junior year, contacts may occur at either the prospective student-athlete's educational institution or residence.

B. Bylaws: Amend 13.1.3, as follows:

13.1.3 Telephone Calls.

13.1.3.1 Time Period for Telephone Calls -- General Rule. Telephone calls to an individual (or his or her relatives or legal guardians) may not be made before *July 1 following* **June 15 at** the completion of his or her *junior* **sophomore** year in high school (*subject to the exceptions below*), or the opening day of classes of his or her *senior* **junior** year in high school (as designated by the high school), whichever is earlier; thereafter, staff members shall not make such telephone calls more than once per week.

13.1.3.1.1 Exception -- Baseball, <u>Women's Basketball</u>, Cross Country/Track and Field, <u>Football</u>, Men's Lacrosse, Women's Lacrosse, Women's Sand Volleyball, Softball and Women's Volleyball. In baseball, <u>women's basketball</u>, cross country/track and field, <u>football</u>, men's lacrosse, women's lacrosse, women's sand volleyball, softball and women's volleyball, <u>telephone calls to an individual (or his or her relatives or legal guardians) may not be made before July 1 following the completion of his or her junior year in high school, or the opening day of classes of his or her senior year in high school (as designated by the high school),</u>

whichever is earlier. Thereafter, such once it is permissible to make telephone calls to an individual, such calls shall be limited to once per week outside a contact period, but may be made at the institution's discretion during a contact period.

13.1.3.1.2 Exception -- Football. In football, one telephone call to an individual (or the individual's relatives or legal guardians) may be made from April 15 through May 31 of the individual's junior year in high school. Additional telephone calls to an individual (or the individual's relatives or legal guardians) may not be made before September 1 of the beginning of the individual's senior year in high school. Thereafter, such telephone contact is limited to once per week outside a contact period, but may be made at the institution's discretion during a contact period.

13.1.3.1.32 Exception -- Men's Basketball. In men's basketball, telephone calls to an individual (or the individual's relatives or legal guardians) may not be made before June 15 at the conclusion of the individual's sophomore year in high school. If an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere), telephone calls to the individual (or his or her relatives or legal guardians) may not be made before the day after the conclusion of the individual's sophomore year in high school. Thereafter, once it is permissible to make telephone calls to an individual, an institution may make telephone such calls to a prospective student athlete at its discretion.

[13.1.3.1.3.1 renumbered as 13.1.3.1.2.1, unchanged.]

13.1.3.1.4 Exception Women's Basketball. In women's basketball, telephone calls may be made to an individual (or the individual's relatives or legal guardians) as follows:

- (a) One telephone call during the month of April of the individual's junior year in high school on or after the Thursday after the conclusion of the NCAA Division I Women's Final Four.
- (b) One telephone call during the month of May of the individual's junior year in high school.
- (c) One telephone call on or after June 1 through June 20 of the individual's junior year in high school.
- (d) One telephone call on or after June 21 through June 30 of the individual's junior year in high school.
- (e) Three telephone calls during the month of July following the individual's junior year in high school, with not more than one telephone call per week [see

Bylaw 13.1.6.2.1-(c)]. If an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere), the institution may begin to place one telephone call per week to the individual beginning on the opening day of classes of the individual's senior year in high school.

(f) Thereafter, one telephone call per week outside a contact period and unlimited telephone calls during a contact period.

13.1.3.1.4.13 Additional Restrictions -- Additional Communication with a Parent or Legal Guardian Who Serves on Staff of a Nonscholastic Team -- Women's Basketball. An In women's basketball, outside a contact period, an institution may not have any additional communication with a parent or legal guardian of a prospective student-athlete who serves on the staff of his or her daughter's nonscholastic team unless the parent or legal guardian also serves as a head coach of his or her daughter's scholastic team and the additional communication is unrelated to recruitment of his or her daughter.

13.1.3.1.4.2 Additional Restrictions -- July Evaluation Periods -- Women's Basketball. In women's basketball, during the July evaluation periods, all communication with a prospective student-athlete, the prospective student-athlete's relatives or legal guardians, the prospective student-athlete's coach or any individual associated with the prospective student-athlete as a result of the prospective student-athlete's participation in basketball, directly or indirectly, is prohibited.

[13.1.3.1.4.2.1 renumbered as 13.1.3.1.4.1, unchanged.]

13.1.3.1.5 Exception Men's Ice Hockey. In men's ice hockey, an institution is permitted to make one telephone call per month to an individual (or the individual's relatives or legal guardians) on or after June 15 at the conclusion of the individual's sophomore year in high school through July 31 after the individual's junior year in high school. An institution is permitted to make one telephone call per week to an individual (or the individual's relatives or legal guardians) beginning August 1 following completion of the individual's junior year in high school.

13.1.3.1.5.1 Nontraditional Academic Calendars -- Men's Ice Hockey. In men's ice hockey, if an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere), telephone calls to the individual (or his or her relatives or legal guardians) may not be made before the day after the conclusion of the individual's sophomore year in high school. Thereafter, an institution may make telephone calls to an individual (or his or her relatives or legal guardians) as follows:

(a) One telephone call per month from the day after the conclusion of the individual's sophomore year in high school to the opening day of classes of individual's senior year in high school.

(b) One telephone call per week beginning on the opening day of classes of individual's senior year in high school.

13.1.3.1.6 Exception Women's Ice Hockey. In women's ice hockey, an institution is permitted to make one telephone call to an individual (or the individual's relatives or guardians) who is a resident of a foreign country on or after July 7 through July 31 following the completion of the individual's sophomore year in high school. An institution is permitted to make one telephone call per week to an individual (or the individual's relatives or legal guardians) beginning July 7 following completion of the individual's junior year in high school, or the opening day of classes of his or her senior year in high school (as designated by the high school), whichever is earlier.

13.1.3.1.75 Application of Telephone Call Limitations. Once an institution reaches the applicable limit on telephone calls to a prospective student-athlete (or the prospective student-athlete's relatives or legal guardians) for a particular time period (e.g., *one per month*, one per week, *two per week*), the institution may not initiate an additional telephone call during the same time period, even if no direct conversation occurs during the additional call (e.g., voicemail message).

[13.1.3.1.8 renumbered as 13.1.3.1.6, unchanged.]

13.1.3.2 Additional Restrictions.

[13.1.3.2.1 unchanged.]

13.1.3.2.2 Telephone Calls Initiated by Prospective Student-Athlete at His or Her Expense. Institutional staff members may receive telephone calls placed by a prospective student-athlete at the prospective student-athlete's own expense at any time, *including before July 1 following the prospective student athlete's junior year in high school*.

[13.1.3.3 through 13.1.3.4 unchanged.]

13.1.3.5 Nonpermissible Callers.

[13.1.3.5.1 unchanged.]

13.1.3.5.2 Enrolled Student-Athletes. Enrolled student-athletes or other enrolled students shall not make or participate in telephone calls to prospective student-athletes at the direction of a coaching staff member or financed by the institution or a representative of its athletics interests; however, they may receive telephone

calls at the expense of the prospective student-athlete on or after July 1 following June 15 at the completion of the prospective student-athlete's junior sophomore year in high school or the opening day of classes of his or her junior year in high school (as designated by the high school), whichever is earlier. An enrolled student-athlete may receive telephone calls made at the expense of a prospective student-athlete prior to July 1 following June 15 at the completion of the prospective student-athlete's junior sophomore year in high school or the opening day of classes of his or her junior year in high school (as designated by the high school), whichever is earlier, provided there is no direct or indirect involvement by athletics department staff.

[13.1.3.5.2.1 unchanged.]

13.1.3.6 Collect and Toll-Free Telephone Calls. Institutional coaching staff members (see Bylaw 13.1.3.4.1) may accept collect and toll-free (e.g., 1-800, 1-888) telephone calls placed by a prospective student-athlete and the prospective student-athlete's parents and legal guardians, provided the calls are placed not earlier than *July 1 following completion* of the prospective student athlete's junior year in high school the date on which an institution may begin placing telephone calls to a prospective student-athlete.

13.1.3.6.1 Exception -- Men's Basketball. In men's basketball, institutional coaching staff members may accept collect and toll-free (e.g., 1-800, 1-888) telephone calls placed by a prospective student athlete and the prospective student athlete's parents and legal guardians, provided the calls are placed not earlier than the date on which an institution may begin placing telephone calls to a prospective student-athlete.

13.1.3.6.2 Exception — Women's Basketball. In women's basketball, institutional coaching staff members may accept collect and toll free telephone calls placed by a prospective student-athlete and the prospective student-athlete's parents and legal guardians, provided the calls are not placed earlier than the date on which an institution may begin placing telephone calls to the prospective student-athlete (see Bylaw 13.1.3.1.4).

[13.1.3.7 unchanged.]

C. Bylaws: Amend 13.1.5, as follows:

13.1.5 Contacts.

13.1.5.1 Sports Other Than Football and Basketball. In sports other than football and basketball, each institution is limited to seven recruiting opportunities (contacts and evaluations combined) per prospective student-athlete (see Bylaw 13.1.5.5). During the senior year of high school, not more than three of the seven opportunities may be off campus contacts at any site and shall include contacts with the prospective student-

athlete's relatives or legal guardians, but shall not include contacts made during an official visit per Bylaw 13.6.

- 13.1.5.2 Football. In football, each institution shall be limited to six in-person, off-campus recruiting contacts per prospective student-athlete at any site and shall include contacts made with the prospective student-athlete's relatives or legal guardians, but shall not include contacts made during an official visit per Bylaw 13.6.
- 13.1.5.3 Men's Basketball. In men's basketball, during the academic year, each institution shall be limited to seven recruiting opportunities (contacts and evaluations combined) per prospective student-athlete. Men's basketball staff members shall not exceed 130 recruiting-person days during the academic year contact and evaluation periods. Contacts that occur during a prospective student-athlete's junior year during recruiting periods other than the April recruiting period may occur only at the prospective student-athlete's educational institution. During the April recruiting period of a prospective student-athlete's junior year, contacts may occur at either the prospective student-athlete's educational institution or residence.
- 13.1.5.4 Women's Basketball. In women's basketball, during the academic year, each institution shall be limited to seven recruiting opportunities (contacts and evaluations combined) per prospective student-athlete; however, during the prospective student-athlete's senior year, the institution is limited to not more than three in-person, off-campus contacts (see Bylaw 13.1.5.5). Women's basketball staff members shall not exceed 100 recruiting-person days during the academic year contact and evaluation periods.

[13.1.5.4.1 through 13.1.5.4.2 unchanged.]

[13.1.5.5 through 13.1.5.10 unchanged.]

D. Bylaws: Amend 13.4.1, as follows:

13.4.1 Recruiting Materials. In sports other than men's basketball and men's ice hockey, an institution shall not provide recruiting materials, including general correspondence related to athletics, to an individual (or his or her parents or legal guardians) until September 1 at the beginning of his or her junior year in high school. In men's basketball and men's ice hockey, an An institution shall not provide recruiting materials, including general correspondence related to athletics, to an individual (or his or her parents or legal guardians) until June 15 at the conclusion of his or her sophomore year in high school or the opening day of classes of his or her junior year in high school (as designated by the high school), whichever is earlier. In men's basketball, if an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere) an institution shall not provide recruiting materials, including general correspondence related to athletics, to such an individual (or his or her parents or legal guardians) until the day after the conclusion of the individual's sophomore year in high school.

[Remainder of 13.4.1 unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Recruiting

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The establishment of regulations that provide for earlier access with prospective student-athletes is designed to support student-athlete success and well-being by allowing both the prospective student-athlete (and his or her family) and the institution greater opportunities to make more informed, and thus more sound, recruiting decisions.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 13-3 -- RECRUITING -- DEREGULATION OF MODES AND NUMERICAL LIMITATIONS ON COMMUNICATION

Intent: To eliminate restrictions governing modes and numerical limitations on recruiting communication.

A. Bylaws: Amend 13.1.3, as follows:

13.1.3 Telephone Calls.

13.1.3.1 Time Period for Telephone Calls -- General Rule. Telephone calls to an individual (or his or her relatives or legal guardians) may not be made before July 1 following the completion of his or her junior year in high school (subject to the exceptions below), or the opening day of classes of his or her senior year in high school (as designated by the high school), whichever is earlier; thereafter, staff members shall not make such telephone calls more than once per week. Thereafter, an institution may make telephone calls to a prospective student-athlete at its discretion.

13.1.3.1.1 Exception — Baseball, Cross Country/Track and Field, Men's Lacrosse, Women's Lacrosse, Women's Sand Volleyball, Softball and Women's Volleyball. In baseball, cross country/track and field, men's lacrosse, women's lacrosse, women's sand volleyball, softball and women's volleyball, telephone calls to an individual (or his or her relatives or legal guardians) may not be made before July 1 following the completion of his or her junior year in high school, or the opening day of classes of his or her senior year in high school (as designated by the high school), whichever is earlier. Thereafter, such telephone calls shall be limited to once per week outside a contact period, but may be made at the institution's discretion during a contact period.

13.1.3.1.2 Exception -- Football. In football, one telephone call to an individual (or the individual's relatives or legal guardians) may be made from April 15 through May 31 of the individual's junior year in high school. Additional telephone calls to an individual (or the individual's relatives or legal guardians) may not be made before September 1 of the beginning of the individual's senior year in high school. Thereafter, such telephone contact is limited to once per week outside a contact period, but may be made at the institution's discretion during a contact period.

13.1.3.1.31 Exception -- Men's Basketball and Men's and Women's Ice Hockey. In men's basketball and men's and women's ice hockey, telephone calls to an individual (or the individual's relatives or legal guardians) may not be made before June 15 at the conclusion of the individual's sophomore year in high school. If an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere), telephone calls to the individual (or his or her relatives or legal guardians) may not be made before the day after the conclusion of the individual's sophomore year in high school. Thereafter, an

institution may make telephone calls to a prospective student-athlete at its discretion.

[13.1.3.1.3.1 renumbered as 13.1.3.1.1.1, unchanged.]

- 13.1.3.1.4 Exception -- Women's Basketball. In women's basketball, telephone calls may be made to an individual (or the individual's relatives or legal guardians) as follows:
- (a) One telephone call during the month of April of the individual's junior year in high school on or after the Thursday after the conclusion of the NCAA Division I Women's Final Four.
- (b) One telephone call during the month of May of the individual's junior year in high school.
- (c) One telephone call on or after June 1 through June 20 of the individual's junior year in high school.
- (d) One telephone call on or after June 21 through June 30 of the individual's junior year in high school.
- (e) Three telephone calls during the month of July following the individual's junior year in high school, with not more than one telephone call per week [see Bylaw 13.1.6.2.1-(c)]. If an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere), the institution may begin to place one telephone call per week to the individual beginning on the opening day of classes of the individual's senior year in high school.
- (f) Thereafter, one telephone call per week outside a contact period and unlimited telephone calls during a contact period.
- 13.1.3.1.4.1 Additional Restrictions Additional Communication with a Parent or Legal Guardian Who Serves on Staff of a Nonscholastic Team. An institution may not have any additional communication with a parent or legal guardian of a prospective student-athlete who serves on the staff of his or her daughter's nonscholastic team unless the parent or legal guardian also serves as a head coach of his or her daughter's scholastic team and the additional communication is unrelated to recruitment of his or her daughter.
- 13.1.3.1.4.2 Additional Restrictions— July Evaluation Periods Women's Basketball. In women's basketball, during the July evaluation periods, all communication with a prospective student-athlete, the prospective student-athlete's relatives or legal guardians, the prospective student-athlete's coach or any individual associated with the prospective student-athlete as a result of the

prospective student-athlete's participation in basketball, directly or indirectly, is prohibited.

[13.1.3.1.4.2.1 renumbered as 13.1.3.1.2.1, unchanged.]

13.1.3.1.5 Exception -- Men's Ice Hockey. In men's ice hockey, an institution is permitted to make one telephone call per month to an individual (or the individual's relatives or legal guardians) on or after June 15 at the conclusion of the individual's sophomore year in high school through July 31 after the individual's junior year in high school. An institution is permitted to make one telephone call per week to an individual (or the individual's relatives or legal guardians) beginning August 1 following completion of the individual's junior year in high school.

13.1.3.1.5.1 Nontraditional Academic Calendars -- Men's Ice Hockey. In men's ice hockey, if an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere), telephone calls to the individual (or his or her relatives or legal guardians) may not be made before the day after the conclusion of the individual's sophomore year in high school. Thereafter, an institution may make telephone calls to an individual (or his or her relatives or legal guardians) as follows:

(a) One telephone call per month from the day after the conclusion of the individual's sophomore year in high school to the opening day of classes of individual's senior year in high school.

(b) One telephone call per week beginning on the opening day of classes of individual's senior year in high school.

13.1.3.1.6 Exception -- Women's Ice Hockey. In women's ice hockey, an institution is permitted to make one telephone call to an individual (or the individual's relatives or guardians) who is a resident of a foreign country on or after July 7 through July 31 following the completion of the individual's sophomore year in high school. An institution is permitted to make one telephone call per week to an individual (or the individual's relatives or legal guardians) beginning July 7 following completion of the individual's junior year in high school, or the opening day of classes of his or her senior year in high school (as designated by the high school), whichever is earlier.

13.1.3.1.7 Application of Telephone Call Limitations. Once an institution reaches the applicable limit on telephone calls to a prospective student athlete (or the prospective student athlete's relatives or legal guardians) for a particular time period (e.g., one per month, one per week, two per week), the institution may not initiate an additional telephone call during the same time period, even if no direct conversation occurs during the additional call (e.g., voicemail message).

13.1.3.1.8 Effect of Violations. Violations of Bylaw 13.1.3.1 and its subsections involving the first occasion when a staff member exceeds the permissible number of telephone calls during a given week (when it is otherwise permissible to call) shall be considered an institutional violation per Constitution 2.8.1 and shall not affect the prospective student athlete's eligibility.

13.1.3.2 Additional Restrictions.

[13.1.3.2.1 unchanged.]

13.1.3.2.2 Telephone Calls Initiated by Prospective Student-Athlete at His or Her Expense. Institutional staff members may receive telephone calls placed by a prospective student-athlete at the prospective student-athlete's own expense at any time, *including before July 1 following the prospective student-athlete's junior year in high school*.

13.1.3.3 Exceptions.

13.1.3.3.1 Official-Visit Exception. Institutional coaching staff members (see Bylaw 13.1.3.4.1) may make unlimited telephone calls to a prospective student-athlete during the five days immediately preceding the prospective student-athlete's official visit (per Bylaw 13.6) to that institution. If more than one call per week occurs under this exception and a scheduled official visit is canceled due to circumstances beyond the control of the prospective student-athlete or the institution (e.g., trip is canceled by the prospective student-athlete, inclement weather conditions), such calls shall not be considered institutional violations and shall not affect the prospective student athlete's eligibility. However, the institution shall submit a report to the conference office noting the cancellation of the official visit and the reasons for such cancellation.

13.1.3.3.1.1 Telephone Calls in Conjunction with Official Visit. Athletics department staff members may make unlimited telephone calls to a prospective student athlete or those individuals accompanying the prospective student-athlete during the prospective student-athlete's official visit transportation and during his or her official visit.

13.1.3.3.2 Letter of Intent Signing Date Exception. Institutional coaching staff members (see Bylaw 13.1.3.4.1) may make unlimited telephone calls to a prospective student-athlete on the initial date for the signing of the National Letter of Intent and during the two days immediately following the initial signing date.

13.1.3.3.2.1 Football Exception. In football, institutional coaching staff members may make unlimited telephone calls to prospective student-

athletes during the period 48 hours before and 48 hours after 7 a.m. on the initial signing date for the National Letter of Intent.

13.1.3.3.3 Telephone Calls After Commitment. There shall be no limit on the number of telephone calls by the institution to a prospective student-athlete (or the prospective student-athlete's relatives or legal guardians) beginning the calendar day after one of the following events occurs:

(a) The prospective student athlete signs a National Letter of Intent (NLI) or the institution's written offer of admission and/or financial aid; or

(b) The institution receives a financial deposit in response to the institution's offer of admission.

13.1.3.3.4 Off Campus Contact Exception. Institutional coaching staff members (see Bylaw 13.1.3.4.1) may make unlimited telephone calls to a prospective student-athlete on the day a permissible, in-person, off-campus contact occurs with the prospective student athlete.

[13.1.3.4 through 13.1.3.7 renumbered as 13.1.3.3 through 13.1.3.6, unchanged.]

B. Bylaws: Amend 13.4, as follows:

13.4.1 Recruiting Materials. In sports other than men's basketball and men's ice hockey, an institution shall not provide recruiting materials, including general correspondence related to athletics, to an individual (or his or her parents or legal guardians) until September 1 at the beginning of his or her junior year in high school.

In men's basketball and men's ice hockey, an institution shall not provide recruiting materials, including general correspondence related to athletics, to an individual (or his or her parents or legal guardians) until June 15 at the conclusion of his or her sophomore year in high school. In men's basketball, if an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere) an institution shall not provide recruiting materials, including general correspondence related to athletics, to an individual (or his parents or legal guardians) until the day after the conclusion of the individual's sophomore year in high school. [D]

[13.4.1.1 unchanged.]

13.4.1.2 Electronic Transmissions. Electronically transmitted correspondence that may be sent to a prospective student-athlete (or the prospective student-athlete's parents or legal guardians) is limited to electronic mail and facsimiles. (See Bylaw 13.1.6.2.) All other forms of electronically transmitted correspondence (e.g., Instant Messenger, text messaging) are prohibited. Color attachments may be included with electronic mail correspondence, provided the attachment only includes information that is not created for recruiting purposes, except for items that are specifically permitted as printed recruiting materials (e.g., questionnaires), a media guide and video and audio materials, as permitted in Bylaw 13.4.1.5. Attachments other

than a media guide and permissible video and audio materials shall not include any animation, audio or video clips and there shall be no cost (e.g., subscription fee) associated with sending the item attached to the electronic mail correspondence. [D]

13.4.1.2.1 Exception -- Men's Basketball. Electronic correspondence (e.g., electronic mail, Instant Messenger, facsimiles, text messages) may be sent to a prospective student-athlete (or the prospective student-athlete's parents or legal guardians), provided the correspondence is sent directly to the prospective student-athlete (or his or her parents or legal guardians) and is private between only the sender and recipient (e.g., no use of chat rooms, message boards or posts to "walls"). (See Bylaws 13.1.6.2 and 13.10.2.) Color attachments may be included with electronic correspondence, provided the attachment only includes information that is not created for recruiting purposes, except for items that are specifically permitted as printed recruiting materials (e.g., questionnaires), a media guide and video and audio materials, as permitted in Bylaw 13.4.1.5. Attachments other than a media guide and permissible video and audio materials shall not include any animation, audio or video clips and there shall be no cost (e.g., subscription fee) associated with sending the item attached to the electronic correspondence. [D]

13.4.1.2.2 Exception — Electronic Transmissions After Commitment. There shall be no limit on the forms of electronically transmitted correspondence sent to a prospective student-athlete (or the prospective student-athlete's relatives or legal guardians) beginning the calendar day after one of the following events occurs:

(a) The prospective student athlete signs a National Letter of Intent (NLI) or the institution's written offer of admission and/or financial aid; or

(b) The institution receives a financial deposit in response to the institution's offer of admission.

13.4.1.2.3 Exception -- Electronic Mail and Facsimiles Regarding Institutional Camp or Clinic Logistical Issues -- Sports Other Than Men's Basketball. Electronic mail and facsimiles to an individual (or his or her parents, legal guardians, relatives or coach) that relate solely to institutional camp or clinic logistical issues (e.g., missing registration information) are not subject to the restrictions on recruiting materials, provided the correspondence does not contain recruiting language and no solicitation of particular individuals to attend a camp or clinic occurs.

13.4.1.2.4<u>1</u> Exception -- Electronic Correspondence Regarding Institutional Camp or Clinic Logistical Issues — *Men's Basketball*. Electronic correspondence to an individual (or his or her parents, legal guardians, relatives or coach) that relates solely to institutional camp or clinic logistical issues (e.g., missing registration information) is not subject to the restrictions on recruiting materials, provided the correspondence does not contain recruiting language and no solicitation of particular individuals to attend a camp or clinic occurs.

[Remainder of 13.4.1 unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Recruiting

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The current regulations governing modes and restrictions on recruiting communication are cumbersome and present numerous enforcement challenges. Institutions and/or conferences, at their discretion, should be responsible for establishing policies and procedures governing the recruitment of prospective student-athletes by athletics department staff members.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 13-4 -- RECRUITING -- ELIMINATION OF PUBLICATION OF ADMISSIONS AND GRADUATION DATA, BANNED DRUG LIST AND INITIAL-ELIGIBILITY STANDARDS

Intent: To eliminate the legislation related to publishing/providing admissions, graduation rates and NCAA Division I Academic Progress Rate data, banned drug list and initial-eligibility standards to prospective student-athletes.

Bylaws: Amend 13, as follows:

13 Recruiting

[13.1 through 13.2 unchanged.]

13.3 Admissions and Graduation Data, Banned Drug List and Initial-Eligibility Standards.

13.3.1 Disclosure Report

13.3.1.1 Report Publication. The Association's national office annually shall publish the admissions and graduation-rate data, academic progress rate and graduation success rate specified in Bylaws 18.4.2.2.1, 18.4.2.2.2 and 18.4.2.2.3 and shall identify the information on an institution-specific basis

13.3.1.2 Report Distribution. The NCAA Eligibility Center shall provide the information contained within the report to a prospective student-athlete and his or her parents or legal guardians after he or she has registered with the NCAA Eligibility Center and the NCAA Eligibility Center has received an institution's request to add the prospective student athlete to the institution's request list. The NCAA shall make a compilation of graduation data available to prospective student athletes' guidance offices and high school and two-year college coaches via the NCAA website.

13.3.2 Banned Drug List and Information about Nutritional Supplements.

13.3.2.1 Report Publication. The Association's national office annually shall publish the banned drug list and shall update the list on its website (see Bylaw 31.2.3.4).

13.3.2.2 Report Distribution. The NCAA Eligibility Center shall provide the NCAA banned drug list, and information about nutritional supplements (see Bylaw 31.2.3.4), to a prospective student athlete and his or her parents or legal guardians after he or she has registered with the NCAA Eligibility Center.

13.3.3 Notification of Initial-Eligibility Standards. The NCAA Eligibility Center shall provide information regarding the initial eligibility standards contained in Bylaw 14.3 to a prospective student athlete and his or her parents or legal guardians after he or she has registered with the NCAA Eligibility Center.

[13.4 through 13.20 renumbered as 13.3 through 13.19, unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Recruiting

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The current legislation is not consequential as it simply codifies policies that will continue to be executed by the Eligibility Center, regardless of their inclusion in the NCAA Manual.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 13-5-A -- RECRUITING -- ELIMINATION OF PRINTED RECRUITING MATERIALS AND VIDEO/AUDIO LEGISLATION

Intent: To eliminate the legislation governing printed recruiting material and video/audio materials that may be provided to prospective student-athletes.

Bylaws: Amend 13.4, as follows:

- 13.4 Recruiting Materials.
- 13.4.1 Recruiting Materials <u>and Electronic Transmissions</u>. In sports other than men's basketball and men's ice hockey, an institution shall not provide recruiting materials, including general correspondence related to athletics, <u>or send electronic transmissions</u> to an individual (or his or her parents or legal guardians) until September 1 at the beginning of his or her junior year in high school. In men's basketball and men's ice hockey, an institution shall not provide recruiting materials, including general correspondence related to athletics, <u>or send electronic transmissions</u> to an individual (or his or her parents or legal guardians) until June 15 at the conclusion of his or her sophomore year in high school. In men's basketball, if an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere) an institution shall not provide recruiting materials, including general correspondence related to athletics, <u>or send electronic transmissions</u> to such an individual (or his or her parents or legal guardians) until the day after the conclusion of the individual's sophomore year in high school. [D]
 - 13.4.1.1 Printed Recruiting Materials. As specified below, an institution may provide the following printed materials [hard copy or electronically (see Bylaw 13.4.1.2)] to prospective student-athletes, their parents or legal guardians, their coaches or any other individual responsible for teaching or directing an activity in which a prospective student athlete is involved:[D]
 - (a) General Correspondence. General correspondence may be sent only by mail, subject to the following provisions:
 - (1) The correspondence shall include a single sheet of institutional letterhead, which shall not exceed 8 1/2 by 11 inches in size;
 - (2) There are no restrictions on the design or content of one side of the single sheet of institutional letterhead. The opposite side shall be blank, except for text (typed or handwritten) used to communicate a message to the recipient and any other handwritten information;
 - (3) Additional pages of the correspondence shall be limited to plain white paper (not to exceed 8 1/2 by 11 inches in size) and black ink. The additional pages shall be blank, except for text (typed or handwritten) used to communicate a message to the recipient and any other handwritten information;

- (4) Attachments to general correspondence may only include materials printed on plain white paper (not to exceed 8 1/2 by 11 inches in size) with black ink that are not created for recruiting purposes, except for other permissible printed materials (e.g., camp brochures, questionnaires);
- (5) An envelope used to send the correspondence may only include the institution's name and logo or an athletics logo (in addition to the postage, return address and addressee information) on the outside, must be blank on the inside when produced and may not exceed 9 by 12 inches; and
- (6) All institutional staff members (e.g., faculty members, athletics department staff members and administrators) may prepare general correspondence.

(b) Business Cards.

- (c) Camp or Clinic Brochures. Brochures are not restricted by content or design, except that they must indicate that the camp or clinic is open to any and all entrants (limited only by number, age, grade level and/or gender). Brochures are restricted to a single two sided sheet, not to exceed 17 by 22 inches in size when opened in full. Camp or clinic brochures may be provided to a prospective student-athlete at any time. (See Bylaw 12.5.1.6.)
- (d) Questionnaires. An institution may provide questionnaires to a prospective student athlete at any time.
- (e) Nonathletics Institutional Publications. An institution may provide nonathletics institutional publications available to all students at any time (e.g., official academic, admissions and student services publications published by the institution and available to all students).
- (f) NCAA Educational Material Published by the NCAA (e.g., NCAA Guide for the College-Bound Student-Athlete). Such material may be provided to a prospective student athlete at any time.
- (g) Game Programs. Game programs (which may not include posters) may be provided to prospective student-athletes only during official and unofficial recruiting visits and may not be mailed.
- (h) Pre-enrollment Information. Necessary pre-enrollment information regarding orientation, conditioning, academics and practice activities, may be provided to a prospective student-athlete, provided he or she has signed a National Letter of Intent or institutional financial aid agreement or has been officially accepted for enrollment. (See Bylaw 13.4.1.5.4.)
- (i) Institutional Note Cards. Institutional note cards may not exceed 8 1/2 by 11 inches when opened in full. In addition, such cards may only contain the institution's name and

logo or an athletics logo on the outside, must be blank on the inside (one side of the card when opened in full) when produced and may include only handwritten information (e.g., words, illustrations) on the inside when provided to the recipients.

(j) Postcards. An institution may send an institutional postcard, provided its dimensions do not exceed 4 1/4 by 6 inches, it includes only the institution's name and logo or an athletics logo on one side when produced and it includes only handwritten information, (e.g., words, illustrations) on the opposite side when provided to the recipients. Blank postcards issued by the U.S. postal service also may be sent.

13.4.1.1.1 Express Mail Services. An institution is not permitted to use express mail delivery services and may only use first-class mail or a lesser rate of service (e.g., parcel post) with no extra services (e.g., certified mail, delivery confirmation) to provide permissible printed recruiting materials to prospective student-athletes, their parents or legal guardians, their coaches or any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved, who reside within the 50 United States, other than the National Letter of Intent or other written admissions and/or financial aid commitment to attend the institution and necessary pre enrollment information per Bylaw 13.4.1.1-(h).

13.4.1.1.2 Athletics Publication. An institution may produce a printed media guide; however, an institution shall not provide a printed media guide or any other printed athletics publication not listed in Bylaw 13.4.1.1 to a prospective student-athlete, his or her parents or legal guardians, the prospective student-athlete's educational institution or any individual involved in the coaching of a prospective student-athlete. It is not permissible to make a printed media guide or any other printed athletics publication not listed in Bylaw 13.4.1.1 available free of charge to a prospective student athlete, his or her parents or legal guardians, the prospective student-athlete's educational institution or any individual involved in the coaching of a prospective student-athlete, even if such publications are available to other members of the general public free of charge. An institution may not create a printed portfolio of information (e.g., pictures) to be used in the recruiting process. An institution may only provide a media guide to a prospective student-athlete via an electronic mail attachment or hyperlink.

13.4.1.1.2.1 Media Guide Restrictions. A printed media guide may have only one color of print inside the cover and may not exceed 8 1/2 by 11 inches in size and 208 pages in length. An institution may not produce a separate printed supplemental media guide. Any supplemental information (e.g., historical information, records) may be posted on the institution's website and may be printed in black and white, and provided to the media.

13.4.1.21 Electronic Transmissions. Electronically transmitted correspondence that may be sent to a prospective student-athlete (or the prospective student-athlete's parents or

legal guardians) is limited to electronic mail and facsimiles. (See Bylaw 13.1.6.2.) All other forms of electronically transmitted correspondence (e.g., Instant Messenger, text messaging) are prohibited. Color attachments may be included with electronic mail correspondence, provided the attachment only includes information that is not created for recruiting purposes, except for items that are specifically permitted as printed recruiting materials (e.g., questionnaires), a media guide and video and audio materials, as permitted in Bylaw 13.4.1.5. Attachments other than a media guide and permissible video and audio materials shall not include any animation, audio or video clips and there shall be no cost (e.g., subscription fee) associated with sending the item attached to the electronic mail correspondence. [D]

13.4.1.2<u>1</u>.1 Exception -- Men's Basketball. Electronic correspondence (e.g., electronic mail, Instant Messenger, facsimiles, text messages) may be sent to a prospective student-athlete (or the prospective student-athlete's parents or legal guardians), provided the correspondence is sent directly to the prospective student-athlete (or his or her parents or legal guardians) and is private between only the sender and recipient (e.g., no use of chat rooms, message boards or posts to "walls"). (See Bylaws 13.1.6.2 and 13.10.2.) *Color attachments may be included with electronic correspondence, provided the attachment only includes information that is not created for recruiting purposes, except for items that are specifically permitted as printed recruiting materials (e.g., questionnaires), a media guide and video and audio materials, as permitted in Bylaw 13.4.1.5. Attachments other than a media guide and permissible video and audio materials shall not include any animation, audio or video clips and there shall be no cost (e.g., subscription fee) associated with sending the item attached to the electronic correspondence. [D]*

[13.4.1.2.2 through 13.4.1.2.4 renumbered as 13.4.1.1.2 through 13.4.1.1.4, unchanged.]

13.4.1.3 Other Recruiting Materials. An institution may post recruiting materials not listed in Bylaw 13.4.1.1 on its website. General information (e.g., information not created for recruiting purposes) posted to an institution's website (e.g., press release, competition schedule) may be sent to a prospective student athlete via electronic mail as an attachment or a hyperlink or such information may be printed on plain white paper with black ink and provided to a prospective student athlete as an attachment to general correspondence, via facsimile or during any permissible on- or off campus contact. [D]

[13.4.1.4 renumbered as 13.4.1.2, unchanged.]

13.4.1.5 Video/Audio Materials. An institution may not produce video or audio materials to show to, play for or provide to a prospective student-athlete except as specified in this section. Permissible video or audio material may only be provided to a prospective student athlete via an electronic mail attachment or hyperlink, except as provided in Bylaw 13.4.1.5.4. [D]

13.4.1.5.1 Media Available to All Students. Official academic admissions and student-services media produced by the institution and available to all students may be provided to prospective student athletes.

13.4.1.5.2 Material Not Created for Recruiting Purposes. An institution may produce video or audio material to show to, play for or provide to a prospective student-athlete, provided such material includes only general information related to an institution or its athletics programs and is not created for recruiting purposes. [D]

13.4.1.5.3 Computer-Generated Recruiting Presentations. An institution may produce a computer-generated recruiting presentation (e.g., using presentation software) to show to, play for or provide to a prospective student athlete, subject to the following provisions: [D]

(a) The presentation may be posted to the institution's website;

(b) The presentation may include general informational video/audio material that relates to an institution or its athletics programs and is not created for recruiting purposes;

(c) The presentation may not be personalized to include a prospective studentathlete's name, picture or likeness; and

(d) The presentation may not be created by an entity outside the institution.

13.4.1.5.4 Pre-enrollment Information. An institution may provide any necessary pre-enrollment information (that is not otherwise considered to be general information related to an institution or its athletics programs) regarding orientation, conditioning, academics and practice activities in a video format (e.g., video playbook, games clips) to a prospective student-athlete, provided he or she has signed a National Letter of Intent or institutional financial aid agreement, or has been officially accepted for enrollment. Such information may be provided via a digital media storage device (e.g., DVD, flash drive). [See Bylaw 13.4.1.1-(h).] [D]

13.4.2 Conference Restrictions. A member conference is precluded from providing recruiting materials to prospective student athletes. [D]

[13.4.3 renumbered as 13.4.2, unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Recruiting

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The current legislation governing recruiting materials presents enforcement challenges. Arguably, a prospective student-athlete's decision to attend a particular institution is not significantly influenced by the volume of recruiting material received. In addition, the current legislation does not further the working group's proposed principle of fair competition, which is designed to reduce rules that have been adopted to regulate an institution's potential financial advantages.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 13-5-B -- RECRUITING -- RECRUITING MATERIALS -- GENERAL CORRESPONDENCE ONLY

Intent: To prohibit sending or providing prospective student-athletes any recruiting materials other than general correspondence, as specified.

Bylaws: Amend 13.4, as follows:

13.4 Recruiting Materials

13.4.1 Recruiting Materials. In sports other than men's basketball and men's ice hockey, an institution shall not provide *recruiting materials*, *including* general correspondence related to athletics, to an individual (or his or her parents or legal guardians) until September 1 at the beginning of his or her junior year in high school. In men's basketball and men's ice hockey, an institution shall not provide *recruiting materials*, *including* general correspondence related to athletics, to an individual (or his or her parents or legal guardians) until June 15 at the conclusion of his or her sophomore year in high school. In men's basketball, if an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere) an institution shall not provide *recruiting materials*, *including* general correspondence related to athletics, to such an individual (or his or her parents or legal guardians) until the day after the conclusion of the individual's sophomore year in high school.

13.4.1.1 Printed Recruiting Materials General Correspondence Related to Athletics. As specified below, an institution may provide the following printed materials general correspondence [hard copy or electronically (see Bylaw 13.4.1.2)] to prospective student-athletes, their parents or legal guardians, their coaches or any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved:

(a) General Correspondence. General correspondence may be sent only by mail, subject to the following provisions:

(1)(a) The correspondence shall include a single sheet of institutional letterhead, which shall not exceed 8 1/2 by 11 inches in size;

(2)(b) There are no restrictions on the design or content of one side of the single sheet of institutional letterhead. The opposite side shall be blank, except for text (typed or handwritten) used to communicate a message to the recipient and any other handwritten information;

(3)(c) Additional pages of the correspondence shall be limited to plain white paper (not to exceed 8 1/2 by 11 inches in size) and black ink. The additional pages shall be blank, except for text (typed or handwritten) used to communicate a message to the recipient and any other handwritten information; and

- (4) Attachments to general correspondence may only include materials printed on plain white paper (not to exceed 8 1/2 by 11 inches in size) with black ink that are not created for recruiting purposes, except for other permissible printed materials (e.g., camp brochures, questionnaires);
- (5)(d) An envelope used to send the correspondence may only include the institution's name and logo or an athletics logo (in addition to the postage, return address and addressee information) on the outside, must be blank on the inside when produced and may not exceed 9 by 12 inches; *and*
- (6) All institutional staff members (e.g., faculty members, athletics department staff members and administrators) may prepare general correspondence.
- (b) Business Cards.
- (c) Camp or Clinic Brochures. Brochures are not restricted by content or design, except that they must indicate that the camp or clinic is open to any and all entrants (limited only by number, age, grade level and/or gender). Brochures are restricted to a single two sided sheet, not to exceed 17 by 22 inches in size when opened in full. Camp or clinic brochures may be provided to a prospective student-athlete at any time. (See Bylaw 12.5.1.6.)
- (d) Questionnaires. An institution may provide questionnaires to a prospective student athlete at any time.
- (e) Nonathletics Institutional Publications. An institution may provide nonathletics institutional publications available to all students at any time (e.g., official academic, admissions and student services publications published by the institution and available to all students).
- (f) NCAA Educational Material Published by the NCAA (e.g., NCAA Guide for the College-Bound Student-Athlete). Such material may be provided to a prospective student athlete at any time.
- (g) Game Programs. Game programs (which may not include posters) may be provided to prospective student-athletes only during official and unofficial recruiting visits and may not be mailed.
- (h) Pre-enrollment Information. Necessary pre-enrollment information regarding orientation, conditioning, academics and practice activities, may be provided to a prospective student-athlete, provided he or she has signed a National Letter of Intent or institutional financial aid agreement or has been officially accepted for enrollment. (See Bylaw 13.4.1.5.4.)
- (i) Institutional Note Cards. Institutional note cards may not exceed 8 1/2 by 11 inches when opened in full. In addition, such cards may only contain the institution's name and

logo or an athletics logo on the outside, must be blank on the inside (one side of the card when opened in full) when produced and may include only handwritten information (e.g., words, illustrations) on the inside when provided to the recipients.

(j) Postcards. An institution may send an institutional postcard, provided its dimensions do not exceed 4 1/4 by 6 inches, it includes only the institution's name and logo or an athletics logo on one side when produced and it includes only handwritten information, (e.g., words, illustrations) on the opposite side when provided to the recipients. Blank postcards issued by the U.S. postal service also may be sent.

13.4.1.1.1 Express Mail Services. An institution is not permitted to use express mail delivery services and may only use first-class mail or a lesser rate of service (e.g., parcel post) with no extra services (e.g., certified mail, delivery confirmation) to provide *permissible printed recruiting materials* **general correspondence** to prospective student-athletes, their parents or legal guardians, their coaches or any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved, who reside within the 50 United States, other than the National Letter of Intent or other written admissions and/or financial aid commitment to attend the institution—*and necessary pre-enrollment information per Bylaw* 13.4.1.1-(h).

13.4.1.1.2 Athletics Publications. An institution may produce a printed media guide athletics publications (e.g., media guide); however, an institution shall not provide a printed media guide or any other printed athletics publication not listed *in Bylaw 13.4.1.1* **publications** to a prospective student-athlete, his or her parents or legal guardians, the prospective student-athlete's educational institution or any individual involved in the coaching of a prospective student-athlete. It is not permissible to make a printed media guide or any other printed athletics publication not listed in Bylaw 13.4.1.1 athletics publications available free of charge to a prospective student-athlete, his or her parents or legal guardians, the prospective student-athlete's educational institution or any individual involved in the coaching of a prospective student-athlete, even if such publications are available to other members of the general public free of charge. An institution may not create a printed portfolio of information (e.g., pictures) to be used in the recruiting process. An institution may only provide a media guide an athletics **publication** to a prospective student-athlete via an a hyperlink in electronic mail attachment or hyperlink correspondence.

[13.4.1.1.2.1 unchanged.]

13.4.1.2 Electronic Transmissions. Electronically transmitted correspondence that may be sent to a prospective student-athlete (or the prospective student-athlete's parents or legal guardians) is limited to electronic mail and facsimiles. (See Bylaw 13.1.6.2.) All other forms of electronically transmitted correspondence (e.g., Instant Messenger, text messaging) are prohibited. *Color* No attachments may be included with electronic mail correspondence, but hyperlinks to information on the institution's website or other

websites may be included. provided the attachment only includes information that is not created for recruiting purposes, except for items that are specifically permitted as printed recruiting materials (e.g., questionnaires), a media guide and video and audio materials, as permitted in Bylaw 13.4.1.5. Attachments other than a media guide and permissible video and audio materials shall not include any animation, audio or video clips and there shall be no cost (e.g., subscription fee) associated with sending the item attached to the electronic mail correspondence. [D]

13.4.1.2.1 Exception -- Men's Basketball. Electronic correspondence (e.g., electronic mail, Instant Messenger, facsimiles, text messages) may be sent to a prospective student-athlete (or the prospective student-athlete's parents or legal guardians), provided the correspondence is sent directly to the prospective student-athlete (or his or her parents or legal guardians) and is private between only the sender and recipient (e.g., no use of chat rooms, message boards or posts to "walls"). (See Bylaws 13.1.6.2 and 13.10.2.) Color No attachments may be included with electronic correspondence, but hyperlinks to information on the institution's website or other websites may be included. provided the attachment only includes information that is not created for recruiting purposes, except for items that are specifically permitted as printed recruiting materials (e.g., questionnaires), a media guide and video and audio materials, as permitted in Bylaw 13.4.1.5. Attachments other than a media guide and permissible video and audio materials shall not include any animation, audio or video clips and there shall be no cost (e.g., subscription fee) associated with sending the item attached to the electronic correspondence. [D]

[13.4.1.2.2 through 13.4.1.2.4 renumbered as 13.4.1.1.2 through 13.4.1.1.4, unchanged.]

13.4.1.3 Other Recruiting Materials. An institution may post recruiting materials not listed in Bylaw 13.4.1.1 on its website. General information (e.g., information not created for recruiting purposes) posted to an institution's website (e.g., press release, competition schedule) may be sent to a prospective student athlete via electronic mail as an attachment or a hyperlink or such information may be printed on plain white paper with black ink and provided to a prospective student athlete as an attachment to general correspondence, via facsimile or during any permissible on- or off-campus contact. [D]

13.4.1.4 Responding to Prospective Student-Athlete's Request. Institutional staff members (including athletics staff members) may respond to a prospective student-athlete's letter or electronic mail requesting information from an institution's athletics department prior to the permissible date on which an institution may begin to *provide recruiting materials* send general correspondence or electronic transmissions to a prospective student-athlete, provided the written response does not include information that would initiate the recruitment of the prospective student-athlete or information related to the institution's athletics program (e.g., the reply contains an explanation of current NCAA legislation or a referral to the admissions department). [D]

- 13.4.1.5 Video/Audio Materials. An institution may only not produce video or audio materials to show to, play for or provide to a prospective student-athlete except as specified in this section. Permissible provide video or audio material may only be provided to a prospective student-athlete (or the prospective student-athlete's parents or legal guardians) via an hyperlinks in electronic correspondence mail attachment or hyperlink, except as provided in Bylaw 13.4.1.5.4 pre-enrollment information, which may also be provided via attachment to electronic correspondence or a digital media storage device (e.g., DVD, flash drive). [D]
 - 13.4.1.5.1 Media Available to All Students. Official academic admissions and student-services media produced by the institution and available to all students may be provided to prospective student-athletes.
 - 13.4.1.5.2 Material Not Created for Recruiting Purposes. An institution may produce video or audio material to show to, play for or provide to a prospective student-athlete, provided such material includes only general information related to an institution or its athletics programs and is not created for recruiting purposes. [D]
 - 13.4.1.5.3 Computer-Generated Recruiting Presentations. An institution may produce a computer-generated recruiting presentation (e.g., using presentation software) to show to, play for or provide to a prospective student-athlete, subject to the following provisions: [D]
 - (a) The presentation may be posted to the institution's website;
 - (b) The presentation may include general informational video/audio material that relates to an institution or its athletics programs and is not created for recruiting purposes;
 - (c) The presentation may not be personalized to include a prospective studentathlete's name, picture or likeness; and
 - (d) The presentation may not be created by an entity outside the institution.
 - 13.4.1.5.4 Pre-enrollment Information. An institution may provide any necessary pre-enrollment information (that is not otherwise considered to be general information related to an institution or its athletics programs) regarding orientation, conditioning, academics and practice activities in a video format (e.g., video playbook, games clips) to a prospective student-athlete, provided he or she has signed a National Letter of Intent or institutional financial aid agreement, or has been officially accepted for enrollment. Such information may be provided via a digital media storage device (e.g., DVD, flash drive). [See Bylaw 13.4.1.1 (h).1 [D]

Source: NCAA Division I Working Group on the Collegiate Model – Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Recruiting

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The current legislation governing recruiting materials presents enforcement challenges. Arguably, a prospective student-athlete's decision to attend a particular institution is not significantly influenced by the volume of recruiting material received. In addition, the current legislation does not further the working group's proposed principle of fair competition, which is designed to reduce rules that have been adopted to regulate an institution's potential financial advantages. It would remain permissible for an institution to post materials on its website to be accessed by prospective student-athletes.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 13-6 -- RECRUITING -- RECRUITING MATERIALS -- ADVERTISEMENTS AND PROMOTIONS -- PERSONALIZED PROMOTIONS PROHIBITED

Intent: To eliminate restrictions related to general advertising or promotional materials designed to solicit enrollment of prospective student-athletes, while maintaining prohibitions against personalized promotions.

Bylaws: Amend 13.4.3, as follows:

13.4.3 **Personalized Recruiting** Advertisements and Promotions.

13.4.3.1 Recruiting Advertisements. The publication of advertising or promotional material, by or on behalf of a member institution, designed to solicit the enrollment of a prospective student-athlete is not permitted, except as provided in Bylaw 13.4.3.1.1. Accordingly, a member institution may not buy or arrange to have space in game programs or other printed materials published to provide information concerning the athletics participation or evaluation of prospective student athletes (e.g., recruiting publications) for any purpose whatsoever, including advertisements, a listing of prospective or enrolled student-athletes who will attend the institution and informative materials related to the institution.

13.4.3.1.1 Nonathletics Institutional Advertisements. An institution (or a third party acting on behalf of the institution) may publish nonathletics institutional advertisements or promotional material [e.g., use of signage, booths, kiosks and distribution of printed materials] at high school or two-year college athletics events provided:

- (a) The funds generated by the advertisements or promotional materials are not used for the high school or two-year college's athletics program;
- (b) The institution's athletics department is not involved in the advertisement or promotional activities;
- (c) The advertisements or promotional materials do not contain athletics information (unless as noted in Bylaw 13.4.1 (e); and
- (d) The institution documents the cost of the advertising and promotional activities.

13.4.3.1.2 Camp or Clinic Advertisements. Advertisements for an institution's camp or clinic are permissible if placed in a recruiting publication (other than a high school, two year college or nonscholastic game program) that includes a camp directory that meets the following requirements:

- (a) The size (not to exceed one-half page) and format of such advertisements must be identical; and
- (b) The camp directory must include multiple listings of summer camps on each page (at least two summer-camp advertisements of the same size must appear on each page).

13.4.3.1.2.1 Advertisements Directed Toward a Particular Audience. An institution may advertise or promote an institutional camp or clinic toward a particular audience (e.g., elite camp), provided the advertisement or promotion indicates that the camp or clinic is open to any and all entrants (limited only by number, age, grade level and/or gender).

13.4.3.2 NCAA or Conference Championship Posters. An institution hosting an NCAA or conference championship may produce a poster promoting the championship and send it to a high school coach and/or his or her educational institution. It is not permissible to send such a poster to a prospective student athlete.

13.4.3.2.1 NCAA or Conference Championship Promotional Materials. The NCAA or member conference [or a third party acting on behalf of the NCAA or member conference (e.g., host institution, host conference, or local organizing committee)] may produce and provide championship promotional materials to any individual or group, provided the materials:

- (a) Are solely for the purpose of promoting the championship event rather than the host institution, and use factual information (e.g., date, time, location, identification of host school/conference, ticket information, photos of previous championships);
- (b) Are not sent exclusively to prospective student-athletes;
- (c) Are available to the general public; and
- (d) Do not promote the institution's athletics program.

13.4.3.3 Conference-Sponsored Sportsmanship Initiatives. A conference office may buy or arrange to have space in game programs or other printed materials published to provide information concerning the athletics participation of prospective student athletes, provided the content of the printed materials is limited exclusively to promoting sportsmanship, and the materials are not designed to solicit the enrollment of prospective student-athletes. In addition, a conference office may produce posters, limited exclusively to promoting sportsmanship, which may be sent to any educational institution. It shall not be permissible to send any printed materials related to a sportsmanship initiative (e.g., poster) to a prospective student-athlete.

13.4.3.4 Miscellaneous Promotions. Member institutions and their representatives of athletics interests are prohibited from financing, arranging or using recruiting aids (e.g., newspaper advertisements, bumper stickers, message buttons) designed to publicize the institution's interest in a particular prospective student-athlete.

Source: NCAA Division I Working Group on the Collegiate Model – Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Recruiting

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The current restrictions related to general (as opposed to personalized) advertisements/promotions present enforcement challenges. In addition, the current legislation does not further the working group's proposed principle of fair competition, which is designed to reduce rules that have been adopted to regulate an institution's potential financial advantages.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 13-7 -- RECRUITING -- PUBLICITY -- NO RESTRICTIONS AFTER COMMITMENT

Intent: To eliminate the restrictions on publicity related to a prospective student-athlete after he or she has signed a National Letter of Intent or the institution's written offer of admission and/or financial aid or after the institution has received his or her financial deposit in response to its offer of admission, as specified.

Bylaws: Amend 13.10, as follows:

13.10 Publicity

13.10.1 Presence of Media During Recruiting Contact. A member institution shall not permit a media entity to be present during any recruiting contact made by an institution's coaching staff member.

13.10.2 Publicity Before Commitment.

13.10.2.1 Comments Before Signing Commitment. Before the signing of a prospective student-athlete to a National Letter of Intent or an institution's written offer of admission and/or financial aid or before the institution receives his or her financial deposit in response to its offer of admission, a member institution may comment publicly only to the extent of confirming its recruitment of the prospective student-athlete. The institution may not comment generally about the prospective student-athlete's ability or the contribution that the prospective student-athlete might make to the institution's team; further, the institution is precluded from commenting in any manner as to the likelihood of the prospective student-athlete committing to or signing with that institution.

13.10.2.1.1 Evaluations for Media, Recruiting Services. Athletics department staff members shall not evaluate or rate a prospective student-athlete for news media, scouting services or recruiting services *prior to the prospective student-athlete's signed acceptance of the institution's written offer of admission as a student and/or written tender of financial assistance to be provided upon the prospective student athlete's enrollment.*

[13.10.3 through 13.10.7 renumbered as 13.10.2.2 through 13.10.2.6, unchanged.]

13.10.82.7 Photograph of Prospective Student-Athlete. It is permissible for an institution to photograph a prospective student-athlete during a campus visit to be used in the institution's permissible publicity and promotional activities (e.g., press release, media guide), but the photograph may not be provided to the prospective student-athlete. It is permissible for an institution to use a prospective student-athlete's photograph in printed institutional items (e.g., season ticket brochure) that promote a sport's season in which the prospective student athlete will participate as a student athlete, provided the prospective student-athlete has signed a National Letter of Intent or the institution's written offer of admission and/or financial aid and the prospective student-athlete's name

or photograph is not used in any promotional items that involve the purchase or receipt of commercial advertising (e.g., season-ticket advertisement in newspapers or on a billboard).

13.10.93 Media Release Regarding Signing Publicity After Commitment. Publicity released by an institution concerning a prospective student-athlete's commitment to attend the institution shall occur only There are no restrictions on publicity related to a after the prospective student-athlete after he or she has signed a National Letter of Intent or after the prospective student athlete's signed acceptance of the institution's written offer of admission and/or financial aid or after the institution has received his or her financial deposit in response to its offer of admission, except as set forth in Bylaw 13.10.1. Such communications (with no limit on number or content) may be released to media outlets at the institution's discretion except as limited by Bylaw 13.10.9.2. However, an institution is prohibited from purchasing or receiving commercial advertising (e.g., print, media or billboard) to be used to identify a prospective student-athlete by name or picture.

13.10.9.1 Video Clips. It shall be permissible for an institution to show video clips of a prospective student athlete during its announcement of the prospective student athlete's signing, provided such publicity occurs only after the prospective student athlete has signed a National Letter of Intent or after the prospective student athlete's signed acceptance of the institution's written offer of admission and/or financial aid. Other prospective student athletes may appear in the video clips, provided the focus of the clips is related to the prospective student athlete who has committed to the institution.

13.10.9.2 Announcement of All Signings. An institution may conduct one formal press conference on the institution's campus for the purpose of presenting the names of all prospective student-athletes in a sport who have signed a National Letter of Intent or accepted written offers of admission and/or financial aid from the institution, with the understanding that no prospective student athletes (or their friends or relatives) may be in attendance at such an announcement.

13.10.9.3 Independent Announcement by Prospective Student-Athlete. Press conferences to announce a signing may be arranged independently by the prospective student athlete (or the prospective student athlete's family), provided there is no arrangement or involvement whatsoever by the institution or representatives of its athletics interests.

13.10.9.4 Celebratory Functions. Coaching staff members may attend functions designed to celebrate the institution's signees in the applicable sport and may discuss prospective student athletes who have signed commitments to attend the institution, including discussions with working media, provided the institution previously has released communications of the prospective student-athletes' commitments to attend the institution to media outlets.

13.10.9.4.1 Highlight Video. An institution may produce a single highlight video (one per sport), which includes clips of prospective student-athletes who have signed a National Letter of Intent or accepted a written offer of admission and/or

financial aid from the institution, to show in conjunction with celebratory functions. Other prospective student-athletes may appear in the video clips, provided the focus of the clips is related to the prospective student athlete who has committed to the institution.

13.10.9.5 Signings on Campus. An institution is responsible for signings on its campus (whether involving an individual or a group of prospective student-athletes). Any contact by the media with prospective student athletes on campus during the time the prospective student athlete signs a National Letter of Intent or tender of financial aid shall be considered to be prima facie evidence of institutional involvement in arranging media involvement and shall be prohibited.

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Recruiting

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. Any regulations on publicity after a student-athlete has committed to an institution are inconsequential and any currently prohibited activities should be left to the discretion of the institution and/or conference.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 13-8 -- RECRUITING -- CAMPS AND CLINICS -- PROSPECTIVE AND ENROLLED STUDENT-ATHLETE EMPLOYMENT

Intent: To deregulate the camps and clinics employment legislation related to prospective and enrolled student-athletes, as specified; further; in football, to eliminate the participation prohibition on senior prospective student-athletes.

- **A. Bylaws:** Amend 13.12.1, as follows:
- 13.12.1 Institution's Sports Camps and Clinics.
 - [13.12.1.1 through 13.12.1.2 unchanged.]
 - 13.12.1.3 Attendance Restriction. A member institution's sports camp or clinic shall be open to any and all entrants (limited only by number, age, grade level and/or gender).
 - 13.12.1.3.1 Exception -- Football. In football, a "senior prospective student-athlete" shall not be permitted to enroll, participate or be employed at any such sports camp or clinic.
 - 13.12.1.3.1.1 Senior Prospective Student Athlete Defined. For purposes of this legislation, a "senior prospective student athlete" is a prospective student athlete who is eligible for admission to a member institution or who has started classes for the senior year in high school. A preparatory school or two-year college student is considered to be a "senior prospective student-athlete" for purposes of this rule.
 - 13.12.1.3.1.2 No Physical Activity. A "senior prospective student athlete" may attend a camp or clinic, provided the prospective student athlete does not participate in any physical activities.
 - [13.12.1.4 through 13.12.1.6 unchanged.]
 - 13.12.1.7 Employment of Prospective Student-Athletes/No Free or Reduced Admission Privileges.
 - 13.12.1.7.1 General Rule. An institution, members of its staff or representatives of its athletics interests shall not employ or give free or reduced admission privileges to a prospective student-athlete who is an athletics award winner or any individual being recruited by the institution per Bylaw 13.02.12.1. An institution may offer discounted admission to its camps and clinics based on objective criteria unrelated to athletics abilities (e.g., registration prior to a specific date, online registration, attendance at multiple sessions, group discounts), provided such discounts are published and available on an equal basis to all who qualify. [R]

13.12.1.7.1.1 Exception -- Employment after Commitment. An institution may employ a prospective student-athlete in a camp or clinic, provided he or she has signed a National Letter of Intent or the institution's written offer of admission and/or financial aid or after the institution has received his or her financial deposit in response to its offer of admission. Compensation may be paid only for work actually performed and at a rate commensurate with the going rate in the locality for similar services.

[13.12.1.7.2 through 13.12.1.7.5 unchanged.]

- **B. Bylaws:** Amend 13.12.2, as follows:
- 13.12.2 Employment at Camp or Clinic.
 - 13.12.2.1 Student-Athletes. A student-athlete who is may be employed in any sports camp or clinic must meet the following requirements: provided compensation is provided pursuant to the criteria of Bylaw 12.4.1. A student-athlete who only lectures or demonstrates at a camp/clinic may not receive compensation for his or her appearance at the camp/clinic. [R]
 - (a) The student-athlete must perform duties that are of a general supervisory character in addition to any coaching or officiating assignments.
 - (b) Compensation provided to the student athlete shall be commensurate with the going rate for camp or clinic counselors of like teaching ability and camp or clinic experience and may not be paid on the basis of the value that the student athlete may have for the employer because of the athletics reputation or fame the student athlete has achieved. It is not permissible to establish varying levels of compensation for a student athlete employed in a sports camp or clinic based on the level of athletics skills of the student athlete.
 - (c) A student athlete who only lectures or demonstrates at a camp/clinic may not receive compensation for his or her appearance at the camp/clinic.
 - 13.12.2.1.1 Self-Employment. A student-athlete with remaining eligibility is not permitted to conduct his or her own camp or clinic. [R]
 - 13.12.2.1.2 Provision of Travel Expenses to All Employees. A student athlete may receive actual travel expenses (including lodging and meals in transit and prepaid plane tickets or cash advances) only if such travel expenses are paid and procedures for reimbursement of expenses are used for all employees of the camp/clinic. Credit cards may not be provided to a student athlete to pay such expenses. [R]

13.12.2.1.3 Cash Advances Based on Anticipated Earnings. In the case in which all employees do not receive travel expenses, a cash advance based on anticipated earnings may be provided to a student athlete only if such advance does not exceed the estimated actual and necessary cost of travel or the student athlete's estimated employment earnings, whichever is less, and such a benefit is available to all camp employees. [R]

13.12.2.1.4 Transportation from Coach or Representative of Athletics Interests. Actual transportation from an institution's coach or representative of its athletics interests may be provided to a student-athlete only if travel expenses are paid for all employees of the camp/clinic. [R]

13.12.2.1.5 Employment in Own Institution's Camp or Clinic Sports Other Than Bowl Subdivision Football. In sports other than bowl subdivision football, a member institution (or employees of its athletics department) may employ its student-athletes as counselors in camps or clinics, provided the student-athletes do not participate in organized practice activities other than during the institution's playing season in the sport (see Bylaw 17.1.1).

13.12.2.1.6 Employment in Camp or Clinic -- Bowl Subdivision Football.

13.12.2.1.6.1 Employment in Specialized Camp -- Student-Athlete's Institution. In bowl subdivision football, no member of an institution's football squad who has eligibility remaining may be employed or otherwise participate in that institution's football camp. [R]

13.12.2.1.6.2 Employment in Specialized Camp -- Other Than Student-Athlete's Institution. In bowl subdivision football, a member of an institution's football squad who has eligibility remaining may be employed in any camp that specializes in football, other than his own institution's camp, provided not more than one football student athlete from any one Division I institution is employed. [R]

13.12.2.1.6.3 Employment in Diversified Sports Camp. In bowl subdivision football, a member of an institution's football squad who has eligibility remaining may be employed as a counselor in any diversified camp, including a diversified camp conducted by the student-athlete's own institution, provided not more than one member with eligibility remaining of the previous year's football squad of any one Division I institution is employed. [R]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Recruiting

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. Student-athlete employment should be regulated only by the requirement that compensation is for work actually performed and at a rate commensurate with the going rate in the locality for similar services. Consistent with the general prohibition on the receipt of compensation by a student-athlete in conjunction with speaking engagements or attendance at events such as luncheon meetings of booster clubs or civic organizations, it remains impermissible for a student-athlete to receive compensation if he or she only lectures or demonstrates at a camp or clinic. In addition, prospective student-athletes who have demonstrated a commitment to attend an institution should be allowed to be employed in that institution's camps and clinics since no recruiting advantage will be gained through such employment.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 14-1 -- ELIGIBILITY -- ACADEMIC POLICIES AND INCONSEQUENTIAL LEGISLATION

Intent: To eliminate or modify specified Bylaw 14 regulations, including legislation that is directly supported by institutional academic policy.

Bylaws: Amend 14, as follows:

14 Eligibility: Academic and General Requirements

[14.1 through 14.2 unchanged.]

14.3 Freshman Academic Requirements.

14.3.1 Eligibility for Financial Aid, Practice and Competition. A student-athlete who enrolls in a member institution as an entering freshman with no previous full-time college attendance shall meet the following academic requirements, as certified by the NCAA Eligibility Center, as approved by the Executive Committee, and any applicable institutional and conference regulations, to be considered a qualifier and thus be eligible for financial aid, practice and competition during the first academic year in residence.

[14.3.1.1 through 14.3.1.3 unchanged.]

14.3.1.4 Early Admission Program Waiver. A waiver may be granted by the Initial Eligibility Waivers Committee for a student who left high school after completion of the junior year or during the senior year to enter a member institution under an early admissions program (open to students solely on the basis of outstanding academic performance and promise), provided the following conditions are met:

(a) For the last four semesters completed in high school, the student maintained a cumulative, minimum grade-point average of 3.500 (based on a maximum of 4.000) and ranked in the top 20 percent of the student's class;

(b) The student has not met the requirements for graduation from high school; and

(c) Any remaining deficiency must be in the core-course area of English (the student is lacking only the fourth year of English).

[14.3.1.5 renumbered as 14.3.1.4, unchanged.]

[14.3.2 through 14.3.4 unchanged.]

14.3.5 Determination of Freshman Eligibility.

14.3.5.1 Participation Prior to Certification.

14.3.5.1.1 Temporary Certification, Recruited Student Athlete. If a recruited student-athlete reports for athletics participation before his or her qualification status has been certified, the student may practice, but not compete, during a 21-day period, provided the student meets all other requirements to be eligible to practice. An institution shall not provide athletically related financial aid to the student during this period. After the 21-day period, the student shall have established minimum requirements as a qualifier (as certified by the NCAA Eligibility Center) to continue practicing or to compete and receive athletically related financial aid.

14.3.5.1.2 Temporary Certification, Nonrecruited Student-Athlete. If a nonrecruited student-athlete reports for athletics participation before his or her qualification status has been certified, the student may practice, but not compete, during a 45-day period, provided the student meets all other requirements to be eligible to practice. An institution shall not provide athletically related financial aid to the student during this period. After the 45-day period, the student shall have established minimum requirements as a qualifier (as certified by the NCAA Eligibility Center) to continue practicing or to compete and receive athletically related financial aid.

14.3.5.1.2.1 Exception -- Women's Rowing. A nonrecruited student in women's rowing may practice, but not compete, during the nonchampionship season in that sport. Thereafter, the student shall have established minimum eligibility requirements as a qualifier (as certified by the NCAA Eligibility Center) to continue practicing or to compete.

[14.3.5.2 through 14.3.5.5 unchanged.]

[14.3.6 unchanged.]

14.4 Progress-Toward-Degree Requirements.

[14.4.1 through 14.4.2 unchanged.]

14.4.3 Eligibility for Competition.

14.4.3.1 Fulfillment of Credit-Hour Requirements. Eligibility for competition shall be determined based on satisfactory completion of at least:

[14.4.3.1-(a) through 14.4.3.1-(c) unchanged.]

[14.4.3.1.1 through 14.4.3.1.6 unchanged.]

14.4.3.1.7 Designation of Degree Program. A student athlete shall designate a program of studies leading toward a specific baccalaureate degree at the certifying institution prior to participating in competition that occurs during or

immediately before the third year of enrollment (fifth semester or seventh quarter) and thereafter shall make progress toward that specific degree. This provision shall be applicable to the eligibility not only of a continuing student, but also of a transfer student from a four year or two year collegiate institution who is entering his or her third year of collegiate enrollment, even if the student has not yet completed an academic year in residence or used a season of eligibility in a sport at the certifying institution. Designation of a specific baccalaureate degree program may be accomplished by: [D]

- (a) Formal enrollment by the student-athlete in a specific baccalaureate degree program; or
- (b) Approval by an appropriate academic official (who must not be an academic advisor/counselor employed by the athletics department) of the program leading to the specific baccalaureate degree that the student-athlete is pursuing.

14.4.3.1.7.1 Documentation of Degree Program Designation. If the designation is in accordance with Bylaw 14.4.3.1.7 (a), the official enrollment records of the institution shall constitute the documentation of the program against which progress toward degree under this regulation shall be measured. If the designation is in accordance with Bylaw 14.4.3.1.7 (b), the record of the degree program designation, approved by the appropriate academic official, shall constitute that documentation. For purposes of certifying eligibility for competition, an appropriate academic official shall affirm in writing the number of credit hours applicable to the designated degree program that have been completed satisfactorily. The institution's records for all student athlete degree program designations and progress toward degree evaluations shall be retained for inspection (on request) by an authorized representative of the NCAA.

[14.4.3.1.8 renumbered as 14.4.3.1.7, unchanged.]

[14.4.3.2 through 14.4.3.9 unchanged.]

14.5 Transfer Regulations.

[14.5.1 through 14.5.3 unchanged.]

14.5.4 Two-Year College Transfers. A student who transfers to a member institution from a two-year college or from a branch school that conducts an intercollegiate athletics program must complete an academic year of residence unless the student meets the following eligibility requirements.

[14.5.4.1 through 14.5.4.4 unchanged.]

14.5.4.5 Two-Year College Transfer Regulations. The following regulations shall be applied in administering the eligibility requirements for two-year college transfers.

[14.5.4.5.1 through 14.5.4.5.6 unchanged.]

14.5.4.5.7 Participation Prior to Certification.

14.5.4.5.7.1 Temporary Certification, Recruited Two Year College Transfer. If a recruited two year college transfer student reports for athletics participation before the student's high school or two year college academic record has been certified, the student may practice, but not compete, during a 21-day period, provided the student meets all other requirements to be eligible to practice. An institution shall not provide athletically related financial aid to the student during this period. After the 21-day period, the student shall have established minimum requirements as a transfer student to continue practicing or to compete and receive athletically related financial aid.

14.5.4.5.7.2 Temporary Certification, Nonrecruited Two Year College Transfer. If a nonrecruited two-year college transfer student reports for athletics participation before the student's high school or two-year college academic record has been certified, the student may practice, but not compete, during a 45-day period, provided the student meets all other requirements to be eligible to practice. An institution shall not provide athletically related financial aid to the student during this period. After the 45-day period, the student shall have established minimum requirements as a transfer student to continue practicing or to compete and receive athletically related financial aid.

[14.5.4.5.8 unchanged.]

[14.5.4.6 through 14.5.4.8 unchanged.]

[14.5.5 through 14.5.6 unchanged.]

14.6 High School All-Star Games, Effects on Eligibility. A student-athlete shall be denied the first year of intercollegiate athletics competition if, following completion of high school eligibility in the student athlete's sport and prior to the student athlete's high school graduation, the student athlete competes in more than two all star football contests or two all star basketball contests.

14.6.1 High School All-Star Contest Defined. A high school all-star contest is any contest in football or basketball that meets the following criteria:

(a) The teams participating in the contest involve individuals who have completed their high school eligibility in the sport and have not yet enrolled in and attended classes during a regular term at a collegiate institution;

(b) The contest is scheduled and publicized in advance;

(c) The competition is sponsored and promoted by an individual, organization or agency; and

(d) The individuals are selected for participation in the contest on an invitational basis and have not competed together previously as members of a team that played a regular schedule of games

in an organized recreation or interscholastic program.

14.6.2 Contests Not Considered High School All Star Competition. Contests conducted under the criteria listed in Bylaw 14.6.1 shall not be considered all-star competition for purposes of Bylaw 14.6 if one or more of the following conditions is applicable:

(a) The contest occurs within a framework of a regularly scheduled recreational program

involving solely participants from the community in which the sponsor is located;

(b) The competition is limited solely to participants from the community in which the sponsor is located and no revenue, including gate receipts, concessions, program sales or contributions, is

generated from the contest;

(c) The competition is scheduled within the framework of an established cultural exchange

program involving other educational activities; or

(d) The contest is scheduled in conjunction with developmental competition sponsored by the

national governing body of amateur basketball (USA Basketball) or an active member thereof.

14.6.3 Multiple Competition (Tournaments). Multiple competition (e.g., tournaments) involving more than one contest or two teams will be considered high school all-star competition if the specific criteria in Bylaw 14.6.1 (subject to the conditions in Bylaw 14.6.2) are applicable. Each

game conducted under such circumstances would be considered a high school all star contest.

14.6.4 Facility Use for High School All-Star Games. An institution is prohibited from making its facilities available for a high school all-star game unless the conditions specified in Bylaw

13.11.3.2 are met.

[14.7 through 14.12 renumbered as 14.6 through 14.11, unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Eligibility

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. Legislation that is inconsequential or directly addressed by institutional academic policy should no longer be included in the Manual.

Estimated Budget Impact: None.

RWG PROPOSAL NO. 16-1 -- AWARDS, BENEFITS AND EXPENSES -- AWARDS -- AFTER INITIAL FULL-TIME ENROLLMENT AT THE CERTIFYING INSTITUTION

Intent: To specify that an institution, conference or the NCAA may provide an award to a student-athlete anytime after his or her initial full-time enrollment at the certifying institution.

A. Bylaws: Amend 16.1.1, as follows:

16.1.1 Application of Awards Legislation.

[16.1.1.1 unchanged.]

16.1.1.2 Awards Received by a Student Athlete While Enrolled as a Full Time Student After Initial Full-Time Enrollment at the Certifying Institution. An institution, conference or the NCAA may provide an award to a student-athlete anytime after his or her initial full-time enrollment at the certifying institution. The awards limitations of Bylaw 16.1 apply to awards received by a student-athlete while enrolled during the academic year (from the beginning of the fall term through completion of the spring term, including any intervening vacation period) as a regular student in a minimum full-time academic load, or awards received by a student-athlete while representing the student-athlete's institution at any other time. Such awards may not include cash, gift certificates, gift cards that are redeemable for cash (original amount or any balance thereof), a cash-equivalent award (an item that is negotiable for cash or trade or other services, benefits or merchandise) for athletics participation, or a country club or sports club membership. Awards received by a student-athlete for participation in an event while not representing his or her institution shall conform to the regulations of the recognized amateur organization that governs the competition. Such awards may include gift certificates and gift cards, but may not include cash. [R]

16.1.1.3 Student Athlete Not Regularly Enrolled. Awards received by a student-athlete participating in an event while not enrolled as a regular student during the academic year, or received during the summer while not representing his or her institution, shall conform to the regulations of the recognized amateur organization that governs the competition. Such awards may include gift certificates and gift cards, but may not include cash. [R]

[16.1.1.3.1 through 16.1.1.3.2 renumbered as 16.1.1.2.1 through 16.1.1.2.2, unchanged.]

[16.1.1.4 renumbered as 16.1.1.3, unchanged.]

B. Bylaws: Amend 16.1.4.1, as follows:

16.1.4.1 Participation Awards. Awards for participation in intercollegiate athletics may be presented each year, limited in value and number as specified in Figure 16-1, but may not be provided to a student-athlete prior to the day of the institution's final home contest of the regular

playing season in the particular sport. Awards for participation in special events may be provided only to student-athletes eligible to participate in the competition. [R]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Awards, Benefits, and Expenses

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. Deregulating the legislation related to the timing of a student-athlete's receipt of an award (during the academic year or during the summer) will allow greater discretion to institutions, conferences and the NCAA to determine when to provide awards.

Estimated Budget Impact: Will vary.

RWG PROPOSAL NO. 16-2 -- AWARDS, BENEFITS AND EXPENSES -- EXPENSES TO RECEIVE NONINSTITUTIONAL AWARDS

Intent: To specify that a conference, an institution, the U.S. Olympic Committee, a national governing body or the awarding agency may provide actual and necessary expenses for a student-athlete to receive a noninstitutional award or recognition for athletics or academic accomplishments; further, to specify that actual and necessary expenses may be provided for the student-athlete's parents (or legal guardians), spouse or other relatives to attend the recognition event or awards presentation.

Bylaws: Amend 16.1.7, as follows:

16.1.7 Expenses to Receive Noninstitutional Awards. A conference, an institution, the U.S. Olympic Committee, a national governing body or the awarding agency may provide actual and necessary expenses for a student-athlete to receive a noninstitutional award or recognition for athletics or academic accomplishments. Actual and necessary expenses may be provided for the student-athlete's parents (or legal guardians), spouse or other relatives to attend the recognition event or awards presentation. [R]

16.1.7.1 Hometown Awards. A hometown group may pay reasonable and necessary expenses of a student-athlete returning home to receive an award for athletics accomplishments. [R]

16.1.7.2 Established Regional, National or International Awards. It is permissible for an outside organization (other than a professional sports organization) to provide actual and necessary expenses for a student-athlete to travel to a banquet designed to recognize the individual's accomplishments as an athlete in order for the student-athlete to receive an established regional, national or international award (permitted by NCAA legislation). The outside organization also may provide actual and necessary expenses for the student-athlete's spouse, parents or other relatives to attend the recognition event. [R]

16.1.7.3 Recognition by President, Governor or State Legislative Body. An institution, the U.S. Olympic Committee or the appropriate national governing body (or the international equivalent) may provide actual and necessary expenses when a team (or its senior student athletes) is accorded special recognition by the President of the United States or by the governor or legislative body of the state in which the member institution is located or the international equivalent of an international student athlete's home nation. [R]

16.1.7.4 Conference Awards. A conference or its member institutions may provide actual and necessary expenses for a student-athlete to travel to the conference office or other site to receive a conference award. In addition, the conference may provide actual and necessary expenses for the student athlete's parents (or legal guardians) and spouse to attend the presentation of the conference's male or female "athlete of the year" award to the student-athlete, provided not more than one male and one female student-athlete

receive such an award per academic year. The conference also may provide actual and necessary expenses for the student-athlete's parents (or legal guardians) and spouse to attend the presentation of conference academic awards to the student athlete, provided the academic awards are presented on a regular basis in recognition of outstanding academic achievement. [R]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Awards, Benefits, and Expenses

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. This proposal will provide greater flexibility for applicable entities to provide student-athletes with expenses to receive any noninstitutional award or recognition as a result of their accomplishments. Deregulating the legislation related to providing expenses for a student-athlete to receive a noninstitutional awards (e.g., hometown award, established regional, national, or international award) will create consistency within the legislation and will enhance the student-athlete experience.

Estimated Budget Impact: Will vary.

RWG PROPOSAL NO. 16-3 -- AWARDS, BENEFITS AND EXPENSES -- ACADEMIC AND OTHER SUPPORT SERVICES

Intent: To specify that an institution, conference or the NCAA may finance other academic support, career counseling or personal development services that are reasonable for the success of student-athletes.

Bylaws: Amend 16.3, as follows:

16.3 Academic and Other Support Services.

16.3.1 Mandatory.

16.3.1.1 Academic Counseling/Support Services. Member institutions shall make general academic counseling and tutoring services available to all student-athletes. Such counseling and tutoring services may be provided by the department of athletics or the institution's nonathletics student support services. In addition, an institution, conference or the NCAA may finance other academic and support, career counseling or personal development services that the institution, at its discretion, determines to be appropriate and necessary are reasonable for the academic success of its student-athletes.

16.3.1.1.1 Specific Limitations. An institution may provide the following support services subject to the specified limitations. [R]

- (a) Use of institutionally owned computers and typewriters on a check-out and retrieval basis; however, typing/word processing/editing services or costs may not be provided, even if typed reports and other papers are a requirement of a course in which a student athlete is enrolled;
- (b) Use of copy machines, fax machines and the Internet, including related long-distance charge, provided the use is for purposes related to the completion of required academic course work;
- (c) Course supplies (e.g., calculators, art supplies, computer disks, subscriptions), provided such course supplies are required of all students in the course and specified in the institution's catalog or course syllabus or the course instructor indicates in writing that the supplies are required;
- (d) Cost of a field trip, provided the field trip is required of all students in the course and the fee for such trips is specified in the institution's catalog; and
- (e) Nonelectronic day planners.

[Remainder of 16.3 unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Awards, Benefits, and Expenses

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. This proposal will provide institutions and conferences with the flexibility to provide student-athletes with reasonable support services and will enhance the student-athlete experience. Given the recent emphasis on academics and the various support services available, deregulating this area will allow institutions and conferences to further support the academic and personal success of student-athletes.

Estimated Budget Impact: Will vary.

RWG PROPOSAL NO. 16-4 -- AWARDS, BENEFITS AND EXPENSES -- MEDICAL AND RELATED EXPENSES AND SERVICES

Intent: To specify that an institution, conference or the NCAA may provide medical and related expenses and services to a student-athlete.

Bylaws: Amend 16.4, as follows:

16.4 Medical Expenses.

16.4.1 Permissible. Identified medical expense benefits incidental to a student-athlete's participation in intercollegiate athletics that may be financed by the institution are: An institution, conference or the NCAA may provide medical and related expenses and services to a student-athlete.

- (a) Medical insurance;
- (b) Death and dismemberment insurance for travel connected with intercollegiate athletics competition and practice;
- (c) Drug-rehabilitation expenses;
- (d) Counseling expenses related to the treatment of eating disorders;
- (e) Special individual expenses resulting from a permanent disability that precludes further athletics participation. The illness or injury producing the disability must involve a former student athlete or have occurred while the student athlete was enrolled at the institution, or while the prospective student-athlete was on an official paid visit to the institution's campus. An institution or outside agency, or both, may raise money through donations, benefits or like activities to assist the student-athlete or a prospective student-athlete. All funds secured shall be controlled by the institution, and the money shall be used exclusively to meet these expenses;
- (f) Glasses, contact lenses or protective eye wear (e.g., goggles) for student-athletes who require visual correction in order to participate in intercollegiate athletics;
- (g) Medical examinations at any time;
- (h) Expenses for medical treatment (including transportation and other related costs). Such expenses may include the cost of traveling to the location of medical treatment or the provision of actual and necessary living expenses for the student athlete to be treated at a site on or off the campus during the summer months while the student-athlete is not actually attending classes. Medical documentation shall be available to support the necessity of the treatment at the location in question; and
- (i) Medical expenses (including surgical expenses, medication, rehabilitation and physical therapy expenses and dental expenses).

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Awards, Benefits, and Expenses

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. This proposal provides institutions, conferences and the NCAA with the flexibility to provide student-athletes with medical and related expenses and services. Such flexibility will protect and enhance the health, safety, and mental and physical well-being of student-athletes.

Estimated Budget Impact: Will vary from institution to institution.

RWG PROPOSAL NO. 16-5 -- AWARDS, BENEFITS AND EXPENSES -- PERMISSIBLE BENEFITS -- STUDENT-ATHLETE'S RELATIVES OR INDIVIDUALS OF A COMPARABLE RELATIONSHIP

Intent: To revise all references to a student-athlete's "spouse," "parents," "family member" or "children" in Bylaw 16 to "relative or individual of a comparable relationship"; further, to permit a student-athlete's relative or individual of comparable relationship to receive benefits, as specified.

A. Bylaws: Amend 12.1.2.4.10, as follows:

12.1.2.4.10 Exception for Family Travel to Olympic Games Benefits to Relatives or Individuals of a Comparable Relationship – National Team Competition. A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for an individual's spouse, parents, legal guardians or other relatives or individuals of a comparable relationship to attend the Olympic Games national team competition in which the student-athlete will participate. In addition, an individual's relatives or individuals of a comparable relationship may receive nonmonetary benefits provided to the relatives or individuals of a comparable relationship of all national team members in conjunction with participation in national team competition.

B. Bylaws: Amend 16.02.3, as follows:

16.02.3 Extra Benefit. An extra benefit is any special arrangement by an institutional employee or a representative of the institution's athletics interests to provide a student-athlete or the student-athlete's relative or individual of a comparable relationship or friend a benefit not expressly authorized by NCAA legislation. Receipt of a benefit by student-athletes or their relatives or individuals of a comparable relationship or friends is not a violation of NCAA legislation if it is demonstrated that the same benefit is generally available to the institution's students or their relatives or individuals of a comparable relationship or friends or to a particular segment of the student body (e.g., international students, minority students) determined on a basis unrelated to athletics ability.

C. Bylaws: Amend 16.1.7, as follows:

16.1.7 Expenses to Receive Noninstitutional Awards.

[16.1.7.1 unchanged.]

16.1.7.2 Established Regional, National or International Awards. It is permissible for an outside organization (other than a professional sports organization) to provide actual and necessary expenses for a student-athlete to travel to a banquet designed to recognize the individual's accomplishments as an athlete in order for the student-athlete to receive an established regional, national or international award (permitted by NCAA legislation). The outside organization also may provide actual and necessary expenses for the student-

athlete's *spouse*, *parents or other* relatives <u>or individuals of a comparable relationship</u> to attend the recognition event. [R]

[16.1.7.3 unchanged.]

16.1.7.4 Conference Awards. A conference or its member institutions may provide actual and necessary expenses for a student-athlete to travel to the conference office or other site to receive a conference award. In addition, the conference may provide actual and necessary expenses for the student-athlete's *parents* (or legal guardians) and spouse relatives or individuals of a comparable relationship to attend the presentation of the conference's male or female "athlete of the year" award to the student-athlete, provided not more than one male and one female student-athlete receive such an award per academic year. The conference also may provide actual and necessary expenses for the student-athlete's *parents* (or legal guardians) and spouse relatives or individuals of a comparable relationships to attend the presentation of conference academic awards to the student-athlete, provided the academic awards are presented on a regular basis in recognition of outstanding academic achievement. [R]

- **D.** Bylaws: Amend 16.2.1.3.2, as follows:
- 16.2.1.3 Institution's Home Events in Other Sports.

[16.2.1.3.1 unchanged.]

16.2.1.3.2 Complimentary Admissions to an Institution's Home Contest Honoring a Student-Athlete. An institution may provide a maximum of four complimentary admissions to a student-athlete for an institution's game or event during which a student-athlete is being honored but not participating, provided such complimentary admissions are used by the student-athlete's *parents* (or legal guardians) and/or spouse relatives or individuals of a comparable relationship. [R]

- **E.** Bylaws: Amend 16.6, as follows:
- 16.6 Expenses for Student-Athlete's Friends and Relatives <u>or Individuals of a Comparable Relationship.</u>
 - 16.6.1 Permissible. An institution may not provide any expenses to a student-athlete's relatives <u>or individuals of a comparable relationship</u> or friends, except as permitted in Bylaws 16.6.1 and 16.11. [R]
 - 16.6.1.1 Expenses for <u>Spouse/Children</u> <u>Relatives or Individuals of a Comparable Relationship</u> to Postseason Football Game or NCAA Championship. The institution may provide the cost of actual and necessary expenses (e.g., transportation, lodging, meals and expenses associated with team entertainment functions) for the <u>spouse and children</u> <u>relative or individual of a comparable relationship</u> of an eligible student-athlete to accompany the student-

athlete to a licensed postseason football game or an NCAA football championship in which the student-athlete is a participant, and in other sports, to one round (conducted at the site) of any NCAA championship in which the student-athlete is a participant.[R]

- 16.6.1.2 Family Lodging at Postseason Events for Relatives or Individuals of a Comparable Relationship. An institution may reserve or secure lodging at any postseason event (other than a conference event) at a reduced or special rate for the parents (or legal guardians) and immediate family relatives or individuals of a comparable relationship of a student-athlete who is a participant. It is not permissible for an institution to cover any portion of the cost of lodging, including any cost associated with reserving or securing lodging. [R]
- 16.6.1.3 *Life Threatening* Injury or Illness. The institution may pay transportation, housing and meal expenses for a student-athlete's *parents (or legal guardians) and spouse* **relatives or individuals of a comparable relationship** and any other student-athlete to be present in situations in which a student-athlete suffers an *life threatening* injury or illness, or in the event of a student-athlete's death, to provide such expenses in conjunction with funeral arrangements. [R]
 - 16.6.1.3.1 Family Member of Student-Athlete Student-Athlete's Relatives or Individuals of a Comparable Relationship. An institution may pay transportation, housing and meal expenses for a student-athlete's spouse and children relatives or individuals of a comparable relationship and any other student-athlete to be present in situations in which a family member or legal guardian of the student athlete student-athlete's relative or individual of a comparable relationship suffers an life threatening injury or illness, or in the event of a family member's or legal guardian's such an individual's death, to provide the student-athlete's spouse and children relatives or individuals of a comparable relationship and any other student-athlete with such expenses in conjunction with funeral arrangements. [R]
- 16.6.1.4 Olympic Games National Team Competition -- Family Benefits to Relatives or Individuals of a Comparable Relationship. A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for a student-athlete's spouse, parents, legal guardians or other relatives or individuals of a comparable relationship to attend the Olympic Games national team competition in which the student-athlete will participate. In addition, family members of student-athletes a student-athlete's relatives or individuals of a comparable relationship may receive nonmonetary benefits provided to the family members relatives or individuals of a comparable relationship of all Olympic national team members in conjunction with participation in the Olympic Games national team competition. [R]

- 16.6.1.5 Reasonable *Refreshments* Food and Drinks. An institution may provide the family (e.g., parents or legal guardians, relatives) of a student athlete a student-athlete's relatives or individuals of a comparable relationship with reasonable refreshments (e.g., soft drinks, snacks) food and drinks in conjunction with educational meetings or celebratory events (e.g., senior night) and on an occasional basis for other reasons. [R]
- 16.6.1.6 Complimentary Admissions to Institutional Awards Banquets. An institution may provide complimentary admissions to an institutional awards banquet for the *spouse and children* **relatives or individuals of a comparable relationship** of any student-athlete being honored at the banquet. [R]

16.6.1.6.1 One Time Exception — Parents or Legal Guardians. — On one occasion during the final academic year of a student-athlete's athletics eligibility, an institution may provide complimentary admissions for the student-athlete's parents or legal guardians to attend an institutional awards banquet at which the student athlete is being honored.

F. Bylaws: Amend 16.11.1, as follows:

16.11.1 Permissible.

16.11.1.1 General Rule. Receipt of a benefit (including otherwise prohibited extra benefits per Bylaw 16.11.2) by student-athletes, their relatives <u>or individuals of a comparable relationship</u> or friends is not a violation of NCAA rules if it is demonstrated that the same benefit is generally available to the institution's students and their relatives or friends.

[16.11.1.2 through 16.11.1.11 unchanged.]

16.11.1.12 Miscellaneous Benefits. An institution may provide or arrange for the following benefits for a student-athlete: [R]

[16.11.1.12-(a) through 16.11.1.12-(c) unchanged.]

(d) Occasional meals to team members provided by the *parent of* a *student athlete* **student-athlete's relative or individual of a comparable relationship** at any location;

[16.11.1.12-(e) unchanged.]

(f) Reasonable tokens of support and transportation, housing and meal expenses in the event of serious injury, serious illness, or death of a *family member* **relative or individual of a comparable relationship** or teammate;

(g) Fundraisers for student-athletes (or their immediate family members relatives or individuals of a comparable relationship) under the following extreme circumstances:

[16.11.1.12-(h) unchanged.]

G. Bylaws: Amend 16.11.2, as follows:

16.11.2 Nonpermissible.

16.11.2.1 General Rule. The student-athlete shall not receive any extra benefit. The term "extra benefit" refers to any special arrangement by an institutional employee or representative of the institution's athletics interests to provide the student-athlete or his or her relatives **or individuals of a comparable relationship** or friends with a benefit not expressly authorized by NCAA legislation. [R]

[Remainder of 16.11.2 unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Awards, Benefits, and Expenses

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. This proposal replaces the current and varying legislative references to various relatives with a broader definition for individuals who would be permitted to receive identified benefits in conjunction with the student-athlete experience. This concept includes nontraditional families, yet maintains a regulatory level that encourages a shared responsibility between student-athletes and institutions. An institution is in the best position to determine who in a student-athlete's life is a relative or individual of a comparable relationship. Because this proposal maintains a level of legislative definition, it will continue to minimize undue pressures from third parties. Further, the modifications to the benefits provided enhances the student-athlete experience by providing greater opportunities for members of a student-athlete's family to share in the student-athlete's success and to be present during injury or illness.

Estimated Budget Impact: Will vary.

RWG PROPOSAL NO. 16-6 -- AWARDS, BENEFITS AND EXPENSES -- TEAM ENTERTAINMENT -- IN CONJUNCTION WITH PRACTICE OR COMPETITION

Intent: To specify that an institution, conference or the NCAA may provide reasonable entertainment to student-athletes in conjunction with practice or competition.

Bylaws: Amend 16.7, as follows:

16.7 *Team* Entertainment in Conjunction with Practice or Competition.

16.7.1 Away from Home Contests and Vacation Periods. The institution may pay the actual costs (but may not provide cash) for reasonable entertainment that takes place within a 100-mile radius of where a team plays or practices in connection with an away from home contest or en route to or from such a contest. In addition, an institution may pay the actual costs (but may not provide cash) for reasonable entertainment that takes place within a 30-mile radius of the institution's campus or practice site during vacation periods when the team is required to reside on campus (or at a practice site normally used by the institution) and classes are not in session. An institution, conference, or the NCAA may provide reasonable entertainment to student-athletes in conjunction with practice or competition. [R]

16.7.1.1 Professional Sports Tickets. Complimentary tickets to professional sports contests shall not be provided to student athletes, unless the tickets are provided by the institution (or by a representative of athletics interests through the institution) for entertainment purposes to student-athletes involved in an away-from-home contest. Under the latter circumstances, the institution may pay actual costs for reasonable entertainment that takes place during a team trip. [R]

16.7.2 Movies in Conjunction with a Home Contest. The institution may rent a film or movie, provide pay per view movies in the student athletes' hotel rooms or take its intercollegiate team to the movies the night before a home contest without the film or movie being considered an extra benefit.

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Awards, Benefits, and Expenses

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. This proposal allows institutions and conferences to exercise their discretion

with regard to entertainment that may be provided to student-athletes in conjunction with practice or competition. Further, this proposal will enhance the student-athlete experience.

Estimated Budget Impact: Will vary.

RWG PROPOSAL NO. 16-7 -- AWARDS, BENEFITS AND EXPENSES -- EXPENSES PROVIDED BY THE INSTITUTION FOR PRACTICE AND COMPETITION AND NON-COMPETITIVE EVENTS

Intent: To specify that an institution may provide actual and necessary expenses to a student-athlete to represent the institution in practice and competition (including expenses for activities/travel that are incidental to practice or competition) and for a student-athlete to represent the institution in non-competitive events (e.g., goodwill tours, media appearances, student-athlete advisory committee meetings).

A. Bylaws: Amend 16.8, as follows:

16.8 Expenses Provided By the Institution for Practice and Competition.

16.8.1 Permissible. The permissible expenses for practice and competition that an institution may provide a student-athlete are defined in the following subsections. (See Bylaw 16.9 for expenses that may be provided by individuals or organizations other than the institution.) An institution may provide actual and necessary expenses to a student-athlete to represent the institution in practice and competition (including expenses for activities/travel that are incidental to practice or competition). In order to receive competition-related expenses, the student-athlete must be eligible for competition.

16.8.1.1 Practice. Expenses may be paid for practice sessions only if they are associated with an away-from-home contest or conducted at a site located within the member institution's state or, if outside that state, no more than 100 miles from the institution's campus. [D]

16.8.1.1.1 Practice Sites on Road Trips. Any practice on an extended road trip shall take place either at the competition site or on a direct route between two consecutive competition sites. It is not permissible for an institution to schedule practice sessions at other locations in order to provide entertainment opportunities for team members.

16.8.1.1.2 Skiing -- Weather Exception. In men's and women's skiing, a member institution may transport a team to a practice site beyond the mileage limitations of Bylaw 16.8.1.1 if necessitated by weather conditions. [D]

16.8.1.1.3 Women's Rowing -- Weather Exception. In women's rowing, a member institution may transport a team to a practice site beyond the mileage limitations of Bylaw 16.8.1.1 if necessitated by weather conditions. If such practice activities are conducted during an institution's academic term, the practice activities shall occur only at a site located within the member institution's state or, if outside the state, not more than 200 miles from the institution's campus. All practice activities must be within the institution's declared playing and practice season in women's rowing. [R]

- 16.8.1.1.4 Swimming and Diving -- Exception. In swimming and diving, if an institution does not have access to a diving platform within the mileage limitations of Bylaw 16.8.1.1, the institution may provide expenses for diving practice sessions at the closest available site that includes a diving platform.
- 16.8.1.1.5 On or Off Campus Practice Sites. An institution may transport student athletes from a central on campus site (e.g., the locker room) to an on or off campus practice site.
- 16.8.1.2 Competition While Representing Institution. An institution may provide actual and necessary travel expenses (e.g., transportation, lodging and meals) to a student-athlete for participation in athletics competition, provided the student-athlete is representing the institution (competes in the uniform of the institution) and is eligible for intercollegiate competition. Such competition includes: [D]
- (a) Regularly scheduled intercollegiate athletics events;
- (b) NCAA championship events and national governing body championship events in an emerging sport;
- (c) A licensed postseason football game (see Bylaw 18.7 for conditions required for licensing);
- (d) Nonintercollegiate open, amateur competition;
- (e) Other institutional competition permissible under NCAA legislation, including postseason events; and
- (f) Fundraising activities that an institution counts against its maximum contest limitations per Bylaw 17.
 - 16.8.1.2.1 Departure/Return Expense Restrictions. An eligible student athlete may receive actual and necessary travel expenses to represent the institution in athletics competition, provided the student-athlete departs for the competition not earlier than 48 hours prior to the start of the actual competition and remains not more than 36 hours (24 hours in men's basketball) following the conclusion of the actual competition even if the student athlete does not return with the team. [R]
 - 16.8.1.2.1.1 Exceptions. These travel expense restrictions do not apply in the following circumstances:
 - (a) Travel prior to and following contests in Hawaii or Alaska;
 - (b) Travel prior to and following contests in the 48 contiguous states for member institutions located in Hawaii or Alaska;

- (c) Travel prior to and following regular-season competition that takes place during the institution's official vacation period during the academic year;
- (d) Travel prior to contests in NCAA championship events, national governing body championships in emerging sports or licensed postseason football games;
- (e) Return transportation following contests in NCAA championship events, national governing body championships in emerging sports or licensed postseason football games that take place during the institution's official vacation period during the academic year or between regular academic terms:
- (f) Travel prior to the National Football Foundation Hall of Fame benefit game or the American Football Coaches Retirement Trust benefit game;
- (g) Travel prior to and following regular-season competition that takes place during the institution's summer vacation period;
- (h) Travel prior to and following regular season competition that takes place in one or more foreign countries on one trip during the prescribed playing season and limited to not more than once every four years;
- (i) Travel prior to the USA Gymnastics (USAG) intercollegiate championships; or
- (j) Travel prior to and following contests when inclement weather (e.g., hurricanes, snow storm) requires the institution to adjust original travel plans to depart not more than 72 hours prior to the start of the actual competition or return not more than 60 hours following the conclusion of the actual competition. An institution that uses this exception is required to annually submit a detailed summary to the NCAA national office by July 31.
- 16.8.1.2.1.2 Conference Administered Waiver -- Conference Championship. A conference may grant a waiver of the 48-hour departure restriction for extenuating circumstances associated with travel prior to competition in a conference championship event.
- 16.8.1.2.2 Transportation for Competition Occurring between Terms. It is permissible for any athletics department staff member to furnish transportation to eligible student athletes to the campus from the nearest bus or train station or major airport in conjunction with practice and/or team travel relating to competition that occurs between the end of a final examination period of the fall

semester (or fall or winter quarter) and the beginning of the following regular term.

16.8.1.2.3 Meal-Allowance Limitation. All student-athletes on the same team must receive identical meal allowances on intercollegiate trips and during vacation periods when student athletes are required to remain on the institution's campus for organized practice sessions or competition. Such allowances may not exceed the amount provided by the institution to institutional staff members on away from campus trips and may not be provided for a particular meal if the student-athlete receives that meal (or its equivalent) from another source. [R]

[16.8.1.3 renumbered as 16.8.1.1, unchanged.]

16.8.1.4 Travel to Regular-Season Contests during Vacation Period.

16.8.1.4.1 General Rule. An institution may provide team transportation for a student-athlete to travel from campus to the site of a regular-season contest and back to campus. [R]

16.8.1.4.1.1 Exception. If a student-athlete travels to a site other than the event site during the vacation period, the institution may provide the cost of round trip transportation for the student athlete to travel from campus to the event site and back to campus even if the student-athlete does not travel with the team. The student-athlete shall pay only the additional cost associated with traveling to a site other than the event site. [R]

16.8.1.5 Travel to NCAA Championships, National Governing Body Championships in Emerging Sports and Postseason Bowl Games During Vacation Period.

16.8.1.5.1 General Rule. The institution may provide team transportation for a student athlete to travel from campus to the site of an NCAA championship, national governing body championship in an emerging sport or a postseason bowl game and back to campus. [R]

16.8.1.5.1.1 Exceptions.

(a) Student Athlete Does Not Use Team Transportation. The institution may provide (in lieu of team transportation) the actual and necessary transportation costs for the student athlete to travel from: [R]

- (1) Campus to the event site and back to campus;
- (2) Campus to the event site and then to the student-athlete's home;
- (3) The student athlete's home to the event site and back home; or

(4) The student-athlete's home to the event site and then to campus.

(b) Student Athlete Uses "Leg" of Team Transportation. An institution that provides one "leg" of actual team transportation (campus to the event site or from the event site back to campus) may only provide the actual and necessary costs for the "leg" in which he or she does not use team travel. [R]

(c) Second Trip Home. If a student athlete either uses team transportation to travel from campus to the event site and back to campus, or participates in an event that takes place in the institution's home community, and also has personally paid for a round-trip ticket home during that same vacation period, that student athlete may be provided actual transportation expenses to travel between campus and home on a second occasion during that same period. [R]

(d) Mileage Limitations. Reimbursement for automobile mileage shall not exceed the rate provided to institutional staff members and shall be limited to not more than 400 miles one way.

16.8.1.6 Incidental Expenses at NCAA Championships, National Governing Body Championships in Emerging Sports and Licensed Bowl Games. An institution may provide \$30 per day to each member of the squad to cover unitemized incidental expenses during travel and practice for NCAA championship events or national governing body championship events in emerging sports, during a period limited to the maximum number of days of per diem allowed for the involved championship, or, for licensed postseason bowl contests, for a period not to exceed 10 days. The \$30 per day may be provided only after the institution's team departs for or reports to the site of the championship or postseason bowl contest. [R]

16.8.1.6.1 Excessive Per Diem Subsidies. When a sponsoring agency provides a per diem in excess of a student athlete's actual and necessary expenses, it is not permissible for the institution to provide the student-athlete with the cash difference between the amount allocated for per diem by the sponsoring agency and the actual cost of the individual's room and board expenses. [R]

16.8.1.7 Sports Organization Membership Fee. An institution may provide a student-athlete membership in a sports organization (e.g., United States Volleyball Association, U.S. Gymnastics Federation) if the membership is a component of an entry fee required for competition in which the student athlete is representing the member institution. Further, the student-athlete would be permitted to retain resultant membership benefits under such circumstances.

16.8.1.8 Foreign-Tour Expenses. An institution may provide a student-athlete with expenses for participation in an institution's foreign tour in his or her sport as provided in Bylaw 17.28.

16.8.1.9 Apparel for Community Service or Team Travel. An institution may provide a student-athlete with one shirt (e.g., polo, oxford style) bearing the institution's logo to be used for team travel or other events at which he or she is representing the institution. The shirt may bear a single manufacturer's or distributor's normal trademark or logo not to exceed 2 1/4 square inches in area, including any additional materials surrounding the normal trademark or logo. [R]

16.8.2 Nonpermissible.

16.8.2.1 Travel Apparel. Except as permitted in Bylaw 16.8.1.9, an institution may not provide to student-athletes team travel outfits, blazers or other items of clothing that are not sports-related practice or competition apparel. [R]

16.8.2.2 Transportation to/from Student-Athlete's Residence. An institution may not provide transportation (e.g., shuttle, van) to on-campus practice sites for student-athletes traveling to and from their on or off campus residences, except in unusual situations involving danger, inclement weather or other similar extenuating circumstances. [R]

16.8.2.3 Reimbursement for Travel to Practice. An institution may not reimburse a student athlete if the individual provides his or her own transportation to attend practice at an on- or off campus site. [R]

16.8.2.4 Reimbursement for Travel to Competition. An institution may not reimburse a student-athlete for expenses incurred while driving to an institution's off-campus competition site if the parents (or other relatives or friends) accompany the student-athlete to the competition site. [R]

[16.8.2.5 renumbered as 16.8.2.1 unchanged.]

B. Bylaws: Amend 16.9, as follows:

16.9 Other Travel Expenses Provided By the Institution.

16.9.1 Permissible Travel Expenses Not Related to Practice or Competition. It is permissible for an institution to provide the following travel expenses not related to practice or competition: An institution may provide actual and necessary expenses to a student-athlete to represent the institution in non-competitive events (e.g., goodwill tours, media appearances, student-athlete advisory committee meetings). Further, an institution may provide reasonable local transportation to student-athletes on an occasional basis. [R]

(a) Goodwill Tours. Actual and necessary expenses for a student-athlete to participate in a preseason goodwill tour to promote its intercollegiate athletics program, provided the tour does not involve more than two student-athletes in the same sport who have eligibility remaining and is confined to the state in which the institution is located, or within 100 miles of the institution's main campus, if out of state;

- (b) Media Appearances. Actual and necessary transportation expenses during the playing season for media appearances (e.g., radio, television, print media) if the student-athlete's appearance is related to athletics ability or prestige, provided the student athlete does not miss class to participate, except for class time missed in conjunction with away from home competition or to participate in a conference-sponsored media day. The institution may pay actual and necessary expenses for its student-athletes to attend conference-sponsored media days at any time;
- (c) National Girls and Women in Sports Day/National Student-Athlete Day. Actual and necessary expenses for a student-athlete to participate in activities and events associated with National Girls and Women in Sports Day and National Student Athlete Day, provided such activities and events are conducted either in the state in which the institution is located or in Washington, D.C., as part of a national celebration;
- (d) Student-Athlete Advisory Committee Meetings. An institution or conference may pay actual and necessary expenses for a student-athlete to attend conference, regional or national student-athlete advisory committee meetings; and
- (e) Conference-Sponsored Life Skills Program. An institution or conference may pay actual and necessary expenses for a student-athlete to attend a conference-sponsored life skills program (e.g., leadership, personal development, conflict resolution).
- (f) Local Transportation. Reasonable local transportation to student athletes on an occasional basis.
- **C. Bylaws:** Amend 16.11, as follows:
- 16.11 Benefits, Gifts and Services.
- 16.11.1 Permissible.
 - [16.11.1.1 through 16.11.1.5 unchanged.]
 - 16.11.1.6 Retention of Athletics Apparel and Equipment. A student-athlete may retain athletics apparel items (not equipment) at the end of the individual's intercollegiate participation. Used equipment may be purchased by the student-athlete on the same cost basis as by any other individual interested in purchasing such equipment (see Bylaw 16.1.5). [R]
 - 16.11.1.7 Summer Use of Athletics Equipment. A student athlete may retain and use institutional athletics equipment (per the institution's normal equipment policy) during a summer vacation period.
 - 16.11.1.8 Summer Use of Institutional Horse. In women's equestrian, it is permissible for a student-athlete to retain and use an institutional horse during the summer vacation period.

16.11.1.9 Student Orientation Sessions. A member institution may pay on-campus expenses (e.g., meals, lodging) for student-athletes to attend institutional orientation sessions conducted for all students. However, an institution may provide on campus expenses to student athletes to attend orientation sessions for a particular group of students selected on a basis unrelated to athletics ability only if the institution is providing expenses on a uniform basis to all members of the particular group. [R]

[16.11.1.10 through 16.11.1.15 renumbered as 16.11.1.6 through 16.11.1.11 unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Awards, Benefits, and Expenses

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. The current legislation may be simplified by deregulating many prescriptive bylaws. Specifically, a general rule that permits an institution to provide actual and necessary expenses for a student-athlete to represent the institution and in practice and competition provides enough framework for an institution to determine how to apply the legislation. Simplifying the legislation surrounding the provision of expenses for travel allows an institution to use discretion to provide expenses, including incidental expenses, in accordance with institutional policies, whether for competition or for non-competitive events.

Estimated Budget Impact: Will vary.

RWG PROPOSAL NO. 16-8 -- AWARDS, BENEFITS AND EXPENSES -- NATIONAL TEAM TRYOUTS, PRACTICE AND COMPETITION

Intent: To specify that a student-athlete may receive actual and necessary expenses and reasonable benefits associated with national team practice and competition; further, to specify that an institution may provide actual and necessary expenses for an unlimited number of national team tryouts and championship events.

A. Bylaws: Amend 12.4.2.2, as follows:

12.4.2.2 Broken-Time Payments. An individual may not receive "broken-time" payments except as authorized and administered by the U.S. Olympic Committee during the period immediately preceding and including actual Olympic competition. A permitted broken time payment may cover financial loss as a result of absence from employment to prepare for or participate in the Olympic Games. Such compensation during any other period and payments administered independently of the USOC by other sports governing bodies (e.g., the U.S. Ski Association) are prohibited. National Team Practice and Competition. A student-athlete may receive actual and necessary expenses and reasonable benefits associated with national team practice and competition (e.g., health insurance, broken-time payments). [R]

12.4.2.2.1 Exception When Individual Not Enrolled in Regular Term. An individual may receive broken time payments administered by the U.S. Olympic Committee or the national governing body in the sport during a period when the individual is not enrolled (full or part time) in a regular term to cover financial loss as a result of absence from employment as a direct result of practicing and competing on a national team (defined in Bylaw 14.02.8), provided the amounts are consistent with the principles set forth in Bylaw 12.4.1 and do not exceed \$300 per week, and the payment period covers not more than the period from the date the individual begins practice with the national team following selection to that team to one week after the conclusion of the competition. [R]

B. Bylaws: Amend 16.8.1.3, as follows:

16.8.1.3 Other Competition. During an academic year in which a student-athlete is eligible to represent an institution in athletics competition (or in the following summer), an institution may provide actual and necessary expenses related to participation in the following activities: [R]

[16.8.1.3-(a) through 16.8.1.3-(b) unchanged.]

(c) *Not more than two national* <u>National</u> team tryout competition events, including events from which participants are selected for another tier of tryout competition or events from which final selections are made for the national team that will participate in the Olympic Games, Pan American Games, World Championships, World Cup, World University Games or World University Championships.

C. Bylaws: Amend 16.10.1, as follows:

16.10.1 Permissible.

16.10.1.1 Broken-Time Payments. The student-athlete may receive compensation authorized by the U.S. Olympic Committee to cover financial loss as a result of absence from employment to prepare for or participate in the Olympic Games. Such compensation ("broken time" payments) is limited to the period immediately preceding and including actual Olympic competition. The receipt of payments during any other period, or from other sports governing bodies (e.g., U.S. Ski Association) independent of the USOC, would jeopardize the student athlete's eligibility. National Team Practice and Competition. A student-athlete may receive actual and necessary expenses and reasonable benefits associated with national team practice and competition (e.g., health insurance, broken-time payments). [R]

16.10.1.1.1 Exception When Student-Athlete Not Enrolled in Regular Term. A student-athlete may receive broken-time payments administered by the U.S. Olympic Committee or the national governing body in the sport during a period when the student athlete is not enrolled (full or part time) in a regular term to cover financial loss as a result of absence from employment as a direct result of practicing and competing on a national team (defined in Bylaw 14.02.8), provided the amounts are consistent with the principles set forth in Bylaw 12.4.2.2 and do not exceed \$300 per week, and the payment period covers not more than the period from the date the student athlete begins practice with the national team following selection to that team to one week after the conclusion of the competition. [R]

16.10.1.2 Exception for USOC Elite Athlete Health Insurance Program. An individual may receive the comprehensive benefits of the USOC Elite Athlete Health Insurance Program.

[16.10.1.3 renumbered as 16.10.1.2, unchanged.]

16.10.1.43 Outside Sports Teams. An amateur outside sports team or organization may provide actual and necessary expenses to team members only if the expenses are: [R]

[16.10.1.4-(a) through 16.10.1.4-(d) renumbered as 16.10.1.3-(a) through 16.10.1.3-(d), unchanged.]

16.10.1.43.1 Practice in Conjunction with Competition. Practice expenses may be accepted only if such practice is directly related to a competition and is conducted during a continuous time period preceding the competition. [R]

16.10.1.4.1.1 National Team Practice Exception. If a student athlete is involved in practice sessions conducted by a national team, the student athlete may receive such practice expenses even if the practice is not

continuous and occasionally is interrupted for specified periods of time preceding the competition.

[16.10.1.5 through 16.10.1.9 renumbered as 16.10.1.4 through 16.10.1.8, unchanged.]

16.10.2 Nonpermissible.

[16.10.2.1 through 16.10.2.2 unchanged.]

16.10.2.3 Broken-Time Payments. The receipt by a student-athlete of compensation for a financial loss resulting from absence from employment ("broken-time" payments), except as permitted in Bylaws 12.4.2.2, 16.10.1.1 and 16.10.1.1.1, is prohibited. [R]

[16.10.2.4 through 16.10.2.5 renumbered as 16.10.2.3 through 16.10.2.4, unchanged.]

Source: NCAA Division I Working Group on the Collegiate Model -- Rules

Effective Date: August 1, 2013

Proposal Category: Amendment

Topical Area: Awards, Benefits, and Expenses

Rationale: As a result of the Presidential Retreat in August 2011, the Collegiate Model – Rules Working Group was formed and charged with reviewing current Division I rules with a view toward reducing the volume of unenforceable and inconsequential rules that fail to support the NCAA's enduring values, and emphasizing the most strategically important matters. This proposal is part of a package recommended by the Rules Working Group designed to accomplish those objectives. This proposal will simplify the current legislation by establishing a general rule that allows actual and necessary expenses and reasonable benefits associated with national team practice and competition. Further, an institution may use discretion to provide expenses for a student-athlete's participation in an unlimited number of national team tryouts. Such permissive regulations will enhance student-athlete success and well-being and eliminate the need for prescriptive legislation.

Estimated Budget Impact: Will vary.